Stream:	Internet Engineering Task Force (IETF)
RFC:	9639
Category:	Standards Track
Published:	December 2024
ISSN:	2070-1721
Authors:	M.Q.C. van Beurden A. Weaver

RFC 9639 Free Lossless Audio Codec (FLAC)

Abstract

This document defines the Free Lossless Audio Codec (FLAC) format and its streamable subset. FLAC is designed to reduce the amount of computer storage space needed to store digital audio signals. It does this losslessly, i.e., it does so without losing information. FLAC is free in the sense that its specification is open and its reference implementation is open source. Compared to other lossless audio coding formats, FLAC is a format with low complexity and can be encoded and decoded with little computing resources. Decoding of FLAC has been implemented independently for many different platforms, and both encoding and decoding can be implemented without needing floating-point arithmetic.

Status of This Memo

This is an Internet Standards Track document.

This document is a product of the Internet Engineering Task Force (IETF). It represents the consensus of the IETF community. It has received public review and has been approved for publication by the Internet Engineering Steering Group (IESG). Further information on Internet Standards is available in Section 2 of RFC 7841.

Information about the current status of this document, any errata, and how to provide feedback on it may be obtained at https://www.rfc-editor.org/info/rfc9639.

Copyright Notice

Copyright (c) 2024 IETF Trust and the persons identified as the document authors. All rights reserved.

This document is subject to BCP 78 and the IETF Trust's Legal Provisions Relating to IETF Documents (https://trustee.ietf.org/license-info) in effect on the date of publication of this document. Please review these documents carefully, as they describe your rights and restrictions

van Beurden & Weaver

with respect to this document. Code Components extracted from this document must include Revised BSD License text as described in Section 4.e of the Trust Legal Provisions and are provided without warranty as described in the Revised BSD License.

Table of Contents

1.	Introduction	5
2.	Notation and Conventions	6
3.	Definitions	6
4.	Conceptual Overview	7
	4.1. Blocking	8
	4.2. Interchannel Decorrelation	8
	4.3. Prediction	9
	4.4. Residual Coding	10
5.	Format Principles	11
6 .	Format Layout Overview	12
7.	Streamable Subset	13
8.	File-Level Metadata	14
	8.1. Metadata Block Header	14
	8.2. Streaminfo	14
	8.3. Padding	16
	8.4. Application	17
	8.5. Seek Table	17
	8.5.1. Seek Point	18
	8.6. Vorbis Comment	18
	8.6.1. Standard Field Names	19
	8.6.2. Channel Mask	20
	8.7. Cuesheet	22
	8.7.1. Cuesheet Track	23
	8.8. Picture	24

van Beurden & Weaver

9. Frame Structure	26
9.1. Frame Header	27
9.1.1. Block Size Bits	27
9.1.2. Sample Rate Bits	28
9.1.3. Channels Bits	29
9.1.4. Bit Depth Bits	30
9.1.5. Coded Number	30
9.1.6. Uncommon Block Size	32
9.1.7. Uncommon Sample Rate	32
9.1.8. Frame Header CRC	32
9.2. Subframes	32
9.2.1. Subframe Header	32
9.2.2. Wasted Bits per Sample	33
9.2.3. Constant Subframe	34
9.2.4. Verbatim Subframe	34
9.2.5. Fixed Predictor Subframe	34
9.2.6. Linear Predictor Subframe	35
9.2.7. Coded Residual	36
9.3. Frame Footer	38
10. Container Mappings	39
10.1. Ogg Mapping	39
10.2. Matroska Mapping	40
10.3. ISO Base Media File Format (MP4) Mapping	40
11. Security Considerations	41
12. IANA Considerations	42
12.1. Media Type Registration	43
12.2. FLAC Application Metadata Block IDs Registry	44
13. References	45
13.1. Normative References	45
13.2. Informative References	46

van Beurden & Weaver

Appendix A. Numerical Considerations	48
A.1. Determining the Necessary Data Type Size	49
A.2. Stereo Decorrelation	49
A.3. Prediction	49
A.4. Residual	50
A.5. Rice Coding	51
Appendix B. Past Format Changes	51
B.1. Addition of Blocking Strategy Bit	51
B.2. Restriction of Encoded Residual Samples	52
B.3. Addition of 5-Bit Rice Parameters	52
B.4. Restriction of LPC Shift to Non-negative Values	52
Appendix C. Interoperability Considerations	53
C.1. Features outside of the Streamable Subset	53
C.2. Variable Block Size	53
C.3. 5-Bit Rice Parameters	53
C.4. Rice Escape Code	53
C.5. Uncommon Block Size	54
C.6. Uncommon Bit Depth	54
C.7. Multi-Channel Audio and Uncommon Sample Rates	54
C.8. Changing Audio Properties Mid-Stream	55
Appendix D. Examples	55
D.1. Decoding Example 1	56
D.1.1. Example File 1 in Hexadecimal Representation	56
D.1.2. Example File 1 in Binary Representation	56
D.1.3. Signature and Streaminfo	56
D.1.4. Audio Frames	57
D.2. Decoding Example 2	59
D.2.1. Example File 2 in Hexadecimal Representation	60
D.2.2. Example File 2 in Binary Representation (Only Audio Frames)	60
D.2.3. Streaminfo Metadata Block	60

van Beurden & Weaver

D.2.4. Seek Table	61
D.2.5. Vorbis Comment	61
D.2.6. Padding	62
D.2.7. First Audio Frame	62
D.2.8. Second Audio Frame	68
D.2.9. MD5 Checksum Verification	69
D.3. Decoding Example 3	69
D.3.1. Example File 3 in Hexadecimal Representation	70
D.3.2. Example File 3 in Binary Representation (Only Audio Frame)	70
D.3.3. Streaminfo Metadata Block	70
D.3.4. Audio Frame	70
Acknowledgments	74
Authors' Addresses	

1. Introduction

This document defines the Free Lossless Audio Codec (FLAC) format and its streamable subset. FLAC files and streams can code for pulse-code modulated (PCM) audio with 1 to 8 channels, sample rates from 1 to 1048575 hertz, and bit depths from 4 to 32 bits. Most tools for coding to and decoding from the FLAC format have been optimized for CD-audio, which is PCM audio with 2 channels, a sample rate of 44.1 kHz, and a bit depth of 16 bits.

FLAC is able to achieve lossless compression because samples in audio signals tend to be highly correlated with their close neighbors. In contrast with general-purpose compressors, which often use dictionaries, do run-length coding, or exploit long-term repetition, FLAC removes redundancy solely in the very short term, looking back at 32 samples at most.

The coding methods provided by the FLAC format work best on PCM audio signals with samples that have a signed representation and are centered around zero. Audio signals in which samples have an unsigned representation must be transformed to a signed representation as described in this document in order to achieve reasonable compression. The FLAC format is not suited for compressing audio that is not PCM.

van Beurden & Weaver

2. Notation and Conventions

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [RFC2119] [RFC8174] when, and only when, they appear in all capitals, as shown here.

Values expressed as u(n) represent an unsigned big-endian integer using n bits. Values expressed as s(n) represent a signed big-endian integer using n bits, signed two's complement. Where necessary, n is expressed as an equation using * (multiplication), / (division), + (addition), or - (subtraction). An inclusive range of the number of bits expressed is represented with an ellipsis, such as u(m...n).

All shifts mentioned in this document are arithmetic shifts.

While the FLAC format can store digital audio as well as other digital signals, this document uses terminology specific to digital audio. The use of more generic terminology was deemed less clear, so a reader interested in non-audio use of the FLAC format is expected to make the translation from audio-specific terms to more generic terminology.

3. Definitions

- **Lossless compression**: Reducing the amount of computer storage space needed to store data without needing to remove or irreversibly alter any of this data in doing so. In other words, decompressing losslessly compressed information returns exactly the original data.
- **Lossy compression**: Like lossless compression, but instead removing, irreversibly altering, or only approximating information for the purpose of further reducing the amount of computer storage space needed. In other words, decompressing lossy compressed information returns an approximation of the original data.
- Block: A (short) section of linear PCM audio with one or more channels.
- **Subblock**: All samples within a corresponding block for one channel. One or more subblocks form a block, and all subblocks in a certain block contain the same number of samples.
- **Frame**: A frame header, one or more subframes, and a frame footer. It encodes the contents of a corresponding block.
- **Subframe**: An encoded subblock. All subframes within a frame code for the same number of samples. When interchannel decorrelation is used, a subframe can correspond to either the (per-sample) average of two subblocks or the (per-sample) difference between two subblocks, instead of to a subblock directly; see Section 4.2.

van Beurden & Weaver

- **Interchannel samples**: A sample count that applies to all channels. For example, one second of 44.1 kHz audio has 44100 interchannel samples, meaning each channel has that number of samples.
- **Block size**: The number of interchannel samples contained in a block or coded in a frame.
- **Bit depth** or **bits per sample**: The number of bits used to contain each sample. This **MUST** be the same for all subblocks in a block but **MAY** be different for different subframes in a frame because of interchannel decorrelation. (See Section 4.2 for details on interchannel decorrelation.)
- **Predictor**: A model used to predict samples in an audio signal based on past samples. FLAC uses such predictors to remove redundancy in a signal in order to be able to compress it.
- **Linear predictor**: A predictor using linear prediction (see [LinearPrediction]). This is also called **linear predictive coding (LPC)**. With a linear predictor, each prediction is a linear combination of past samples (hence the name). A linear predictor has a causal discrete-time finite impulse response (see [FIR]).
- **Fixed predictor**: A linear predictor in which the model parameters are the same across all FLAC files and thus do not need to be stored.
- **Predictor order:** The number of past samples that a predictor uses. For example, a 4th order predictor uses the 4 samples directly preceding a certain sample to predict it. In FLAC, samples used in a predictor are always consecutive and are always the samples directly before the sample that is being predicted.
- **Residual:** The audio signal that remains after a predictor has been subtracted from a subblock. If the predictor has been able to remove redundancy from the signal, the samples of the remaining signal (the **residual samples**) will have, on average, a numerical value closer to zero than the original signal.
- **Rice code**: A variable-length code (see [VarLengthCode]). It uses a short code for samples close to zero and a progressively longer code for samples further away from zero. This makes use of the observation that residual samples are often close to zero.
- **Muxing:** Short for multiplexing. Combining several streams or files into a single stream or file. In the context of this document, muxing specifically refers to embedding a FLAC stream in a container as described in Section 10.

4. Conceptual Overview

Similar to many other audio coders, a FLAC file is encoded following the steps below. To decode a FLAC file, these steps are performed in reverse order, i.e., from bottom to top.

1. Blocking (see Section 4.1). The input is split up into many contiguous blocks.

- 2. **Interchannel Decorrelation** (see Section 4.2). In the case of stereo streams, the FLAC format allows for transforming the left-right signal into a mid-side signal, a left-side signal, or a side-right signal to remove redundancy between channels. Choosing between any of these transformations is done independently for each block.
- 3. **Prediction** (see Section 4.3). To remove redundancy in a signal, a predictor is stored for each subblock or its transformation as formed in the previous step. A predictor consists of a simple mathematical description that can be used, as the name implies, to predict a certain sample from the samples that preceded it. As this prediction is rarely exact, the error of this prediction is passed on to the next stage. The predictor of each subblock is completely independent from other subblocks. Since the methods of prediction are known to both the encoder and decoder, only the parameters of the predictor need to be included in the compressed stream. If no usable predictor can be found for a certain subblock, the signal is stored uncompressed, and the next stage is skipped.
- 4. **Residual Coding** (see Section 4.4). As the predictor does not describe the signal exactly, the difference between the original signal and the predicted signal (called the error or residual signal) is coded losslessly. If the predictor is effective, the residual signal will require fewer bits per sample than the original signal. FLAC uses Rice coding, a subset of Golomb coding, with either 4-bit or 5-bit parameters to code the residual signal.

In addition, FLAC specifies a metadata system (see Section 8) that allows arbitrary information about the stream to be included at the beginning of the stream.

4.1. Blocking

The block size used for audio data has a direct effect on the compression ratio. If the block size is too small, the resulting large number of frames means that a disproportionate number of bytes will be spent on frame headers. If the block size is too large, the characteristics of the signal may vary so much that the encoder will be unable to find a good predictor. In order to simplify encoder/decoder design, FLAC imposes a minimum block size of 16 samples, except for the last block, and a maximum block size of 65535 samples. The last block is allowed to be smaller than 16 samples to be able to match the length of the encoded audio without using padding.

While the block size does not have to be constant in a FLAC file, it is often difficult to find the optimal arrangement of block sizes for maximum compression. Because of this, a FLAC stream has explicitly either a constant or variable block size throughout and stores a block number instead of a sample number to slightly improve compression if a stream has a constant block size.

4.2. Interchannel Decorrelation

Channels are correlated in many audio files. The FLAC format can exploit this correlation in stereo files by coding an average of all samples in both subblocks (a mid channel) or the difference between all samples in both subblocks (a side channel) instead of directly coding subblocks into subframes. The following combinations are possible:

• **Independent**. All channels are coded independently. All non-stereo files **MUST** be encoded this way.

van Beurden & Weaver

- Mid-side. A left and right subblock are converted to mid and side subframes. To calculate a sample for a mid subframe, the corresponding left and right samples are summed, and the result is shifted right by 1 bit. To calculate a sample for a side subframe, the corresponding right sample is subtracted from the corresponding left sample. On decoding, all mid channel samples have to be shifted left by 1 bit. Also, if a side channel sample is odd, 1 has to be added to the corresponding mid channel sample after it has been shifted left by 1 bit. To reconstruct the left channel, the corresponding samples in the mid and side subframes are added and the result shifted right by 1 bit. For the right channel, the side channel has to be subtracted from the mid channel and the result shifted right by 1 bit.
- Left-side. The left subblock is coded, and the left and right subblocks are used to code a side subframe. The side subframe is constructed in the same way as for mid-side. To decode, the right subblock is restored by subtracting the samples in the side subframe from the corresponding samples in the left subframe.
- **Side-right**. The left and right subblocks are used to code a side subframe, and the right subblock is coded. The side subframe is constructed in the same way as for mid-side. To decode, the left subblock is restored by adding the samples in the side subframe to the corresponding samples in the right subframe.

The side channel needs one extra bit of bit depth, as the subtraction can produce sample values twice as large as the maximum possible in any given bit depth. The mid channel in mid-side stereo does not need one extra bit, as it is shifted right 1 bit. The right shift of the mid channel does not lead to lossy behavior because an odd sample in the mid subframe must always be accompanied by a corresponding odd sample in the side subframe, which means the lost least-significant bit can be restored by taking it from the sample in the side subframe.

4.3. Prediction

The FLAC format has four methods for modeling the input signal:

- 1. **Verbatim**. Samples are stored directly, without any modeling. This method is used for inputs with little correlation. Since the raw signal is not actually passed through the residual coding stage (it is added to the stream "verbatim"), this method is different from using a zero-order fixed predictor.
- 2. **Constant**. A single sample value is stored. This method is used whenever a signal is pure DC ("digital silence"), i.e., a constant value throughout.
- 3. **Fixed predictor**. Samples are predicted with one of five fixed (i.e., predefined) predictors, and the error of this prediction is processed by the residual coder. These fixed predictors are well suited for predicting simple waveforms. Since the predictors are fixed, no predictor coefficients are stored. From a mathematical point of view, the predictors work by extrapolating the signal from the previous samples. The number of previous samples used is equal to the predictor order. For more information, see Section 9.2.5.
- 4. **Linear predictor**. Samples are predicted using past samples and a set of predictor coefficients, and the error of this prediction is processed by the residual coder. Compared to a fixed predictor, using a generic linear predictor adds overhead as predictor coefficients need to be stored. Therefore, this method of prediction is best suited for predicting more

van Beurden & Weaver

complex waveforms, where the added overhead is offset by space savings in the residual coding stage resulting from more accurate prediction. A linear predictor in FLAC has two parameters besides the predictor coefficients and the predictor order: the number of bits with which each coefficient is stored (the coefficient precision) and a prediction right shift. A prediction is formed by taking the sum of multiplying each predictor coefficient with the corresponding past sample and dividing that sum by applying the specified right shift. For more information, see Section 9.2.6.

A FLAC encoder is free to select any of the above methods to model the input. However, to ensure lossless coding, the following exceptions apply:

- When the samples that need to be stored do not all have the same value (i.e., the signal is not constant), a constant subframe cannot be used.
- When an encoder is unable to find a fixed or linear predictor for which all residual samples are representable in 32-bit signed integers as stated in Section 9.2.7, a verbatim subframe is used.

For more information on fixed and linear predictors, see [Lossless-Compression] and [Robinson-TR156].

4.4. Residual Coding

If a subframe uses a predictor to approximate the audio signal, a residual is stored to "correct" the approximation to the exact value. When an effective predictor is used, the average numerical value of the residual samples is smaller than that of the samples before prediction. While having smaller values on average, it is possible that a few "outlier" residual samples are much larger than any of the original samples. Sometimes these outliers even exceed the range that the bit depth of the original audio offers.

To efficiently code such a stream of relatively small numbers with an occasional outlier, Rice coding (a subset of Golomb coding) is used. Depending on how small the numbers are that have to be coded, a Rice parameter is chosen. The numerical value of each residual sample is split into two parts by dividing it by 2^(Rice parameter), creating a quotient and a remainder. The quotient is stored in unary form and the remainder in binary form. If indeed most residual samples are close to zero and a suitable Rice parameter is chosen, this form of coding, with a so-called variable-length code, uses fewer bits than the residual in unencoded form.

As Rice codes can only handle unsigned numbers, signed numbers are zigzag encoded to a socalled folded residual. See Section 9.2.7 for a more thorough explanation.

Quite often, the optimal Rice parameter varies over the course of a subframe. To accommodate this, the residual can be split up into partitions, where each partition has its own Rice parameter. To keep overhead and complexity low, the number of partitions used in a subframe is limited to powers of two.

The FLAC format uses two forms of Rice coding, which only differ in the number of bits used for encoding the Rice parameter, either 4 or 5 bits.

van Beurden & Weaver

5. Format Principles

FLAC has no format version information, but it does contain reserved space in several places. Future versions of the format **MAY** use this reserved space safely without breaking the format of older streams. Older decoders **MAY** choose to abort decoding when encountering data that is encoded using methods they do not recognize. Apart from reserved patterns, the format specifies forbidden patterns in certain places, meaning that the patterns **MUST NOT** appear in any bitstream. They are listed in the following table.

Description	Reference
Metadata block type 127	Section 8.1
Minimum and maximum block sizes smaller than 16 in streaminfo metadata block	Section 8.2
Sample rate bits 0b1111	Section 9.1.2
Uncommon block size 65536	Section 9.1.6
Predictor coefficient precision bits 0b1111	Section 9.2.6
Negative predictor right shift	Section 9.2.6

Table 1

All numbers used in a FLAC bitstream are integers; there are no floating-point representations. All numbers are big-endian coded, except the field lengths used in Vorbis comments (see Section 8.6), which are little-endian coded. This exception for Vorbis comments is to keep as much commonality as possible with Vorbis comments as used by the Vorbis codec (see [Vorbis]). All numbers are unsigned except linear predictor coefficients, the linear prediction shift (see Section 9.2.6), and numbers that directly represent samples, which are signed. None of these restrictions apply to application metadata blocks or to Vorbis comment field contents.

All samples encoded to and decoded from the FLAC format **MUST** be in a signed representation.

There are several ways to convert unsigned sample representations to signed sample representations, but the coding methods provided by the FLAC format work best on samples that have numerical values that are centered around zero, i.e., have no DC offset. In most unsigned audio formats, signals are centered around halfway within the range of the unsigned integer

type used. If that is the case, converting sample representations by first copying the number to a signed integer with a sufficient range and then subtracting half of the range of the unsigned integer type results in a signal with samples centered around 0.

Unary coding in a FLAC bitstream is done with zero bits terminated with a one bit, e.g., the number 5 is coded unary as 0b000001. This prevents the frame sync code from appearing in unary-coded numbers.

When a FLAC file contains data that is forbidden or otherwise not valid, decoder behavior is left unspecified. A decoder **MAY** choose to stop decoding upon encountering such data. Examples of such data include the following:

- One or more decoded sample values exceed the range offered by the bit depth as coded for that frame. For example, in a frame with a bit depth of 8 bits, any samples not in the inclusive range from -128 to 127 are not valid.
- The number of wasted bits (see Section 9.2.2) used by a subframe is such that the bit depth of that subframe (see Section 9.2.3 for a description of subframe bit depth) equals zero or is negative.
- A frame header Cyclic Redundancy Check (CRC) (see Section 9.1.8) or frame footer CRC (see Section 9.3) does not validate.
- One of the forbidden bit patterns described in Table 1 is used.

6. Format Layout Overview

A FLAC bitstream consists of the fLaC (i.e., 0x664C6143) marker at the beginning of the stream, followed by a mandatory metadata block (called the streaminfo metadata block), any number of other metadata blocks, and then the audio frames.

FLAC supports 127 kinds of metadata blocks; currently, 7 kinds are defined in Section 8.

The audio data is composed of one or more audio frames. Each frame consists of a frame header that contains a sync code, information about the frame (like the block size, sample rate, and number of channels), and an 8-bit CRC. The frame header also contains either the sample number of the first sample in the frame (for variable block size streams) or the frame number (for fixed block size streams). This allows for fast, sample-accurate seeking to be performed. Following the frame header are encoded subframes, one for each channel. The frame is then zero-padded to a byte boundary and finished with a frame footer containing a checksum for the frame. Each subframe has its own header that specifies how the subframe is encoded.

In order to allow a decoder to start decoding at any place in the stream, each frame starts with a byte-aligned 15-bit sync code. However, since it is not guaranteed that the sync code does not appear elsewhere in the frame, the decoder can check that it synced correctly by parsing the rest of the frame header and validating the frame header CRC.

Furthermore, to allow a decoder to start decoding at any place in the stream even without having received a streaminfo metadata block, each frame header contains some basic information about the stream. This information includes sample rate, bits per sample, number of channels, etc.

van Beurden & Weaver

Since the frame header is overhead, it has a direct effect on the compression ratio. To keep the frame header as small as possible, FLAC uses lookup tables for the most commonly used values for frame properties. When a certain property has a value that is not covered by the lookup table, the decoder is directed to find the value of that property (for example, the sample rate) at the end of the frame header or in the streaminfo metadata block. If a frame header refers to the streaminfo metadata block, the file is not "streamable"; see Section 7 for details. By using lookup tables, the file is streamable and the frame header size is small for the most common forms of audio data.

Individual subframes (one for each channel) are coded separately within a frame and appear serially in the stream. In other words, the encoded audio data is NOT channel-interleaved. This reduces decoder complexity at the cost of requiring larger decode buffers. Each subframe has its own header specifying the attributes of the subframe, like prediction method and order, residual coding parameters, etc. Each subframe header is followed by the encoded audio data for that channel.

7. Streamable Subset

The FLAC format specifies a subset of itself as the FLAC streamable subset. The purpose of this is to ensure that any streams encoded according to this subset are truly "streamable", meaning that a decoder that cannot seek within the stream can still pick up in the middle of the stream and start decoding. It also makes hardware decoder implementations more practical by limiting the encoding parameters in such a way that decoder buffer sizes and other resource requirements can be easily determined. The streamable subset makes the following limitations on what **MAY** be used in the stream:

- The sample rate bits (see Section 9.1.2) in the frame header **MUST** be 0b0001-0b1110, i.e., the frame header **MUST NOT** refer to the streaminfo metadata block to describe the sample rate.
- The bit depth bits (see Section 9.1.4) in the frame header MUST be 0b001-0b111, i.e., the frame header MUST NOT refer to the streaminfo metadata block to describe the bit depth.
- The stream **MUST NOT** contain blocks with more than 16384 interchannel samples, i.e., the maximum block size must not be larger than 16384.
- Audio with a sample rate less than or equal to 48000 Hz **MUST NOT** be contained in blocks with more than 4608 interchannel samples, i.e., the maximum block size used for this audio must not be larger than 4608.
- Linear prediction subframes (see Section 9.2.6) containing audio with a sample rate less than or equal to 48000 Hz MUST have a predictor order less than or equal to 12, i.e., the subframe type bits in the subframe header (see Section 9.2.1) MUST NOT be 0b101100-0b11111.
- The Rice partition order (see Section 9.2.7) MUST be less than or equal to 8.
- The channel ordering **MUST** be equal to one defined in Section 9.1.3, i.e., the FLAC file **MUST NOT** need a WAVEFORMATEXTENSIBLE_CHANNEL_MASK tag to describe the channel ordering. See Section 8.6.2 for details.

van Beurden & Weaver

8. File-Level Metadata

At the start of a FLAC file or stream, following the fLaC ASCII file signature, one or more metadata blocks **MUST** be present before any audio frames appear. The first metadata block **MUST** be a streaminfo metadata block.

8.1. Metadata Block Header

Each metadata block starts with a 4-byte header. The first bit in this header flags whether a metadata block is the last one. It is 0 when other metadata blocks follow; otherwise, it is 1. The 7 remaining bits of the first header byte contain the type of the metadata block as an unsigned number between 0 and 126, according to the following table. A value of 127 (i.e., 0b111111) is forbidden. The three bytes that follow code for the size of the metadata block in bytes, excluding the 4 header bytes, as an unsigned number coded big-endian.

Value	Metadata Block Type
0	Streaminfo
1	Padding
2	Application
3	Seek table
4	Vorbis comment
5	Cuesheet
6	Picture
7 - 126	Reserved
127	Forbidden (to avoid confusion with a frame sync code)
Table 2	

8.2. Streaminfo

The streaminfo metadata block has information about the whole stream, such as sample rate, number of channels, total number of samples, etc. It **MUST** be present as the first metadata block in the stream. Other metadata blocks **MAY** follow. There **MUST** be no more than one streaminfo metadata block per FLAC stream.

If the streaminfo metadata block contains incorrect or incomplete information, decoder behavior is left unspecified (i.e., it is up to the decoder implementation). A decoder **MAY** choose to stop further decoding when the information supplied by the streaminfo metadata block turns out to

be incorrect or contains forbidden values. A decoder accepting information from the streaminfo metadata block (most significantly, the maximum frame size, maximum block size, number of audio channels, number of bits per sample, and total number of samples) without doing further checks during decoding of audio frames could be vulnerable to buffer overflows. See also Section 11.

The following table describes the streaminfo metadata block in order, excluding the metadata block header.

Data	Description
u(16)	The minimum block size (in samples) used in the stream, excluding the last block.
u(16)	The maximum block size (in samples) used in the stream.
u(24)	The minimum frame size (in bytes) used in the stream. A value of 0 signifies that the value is not known.
u(24)	The maximum frame size (in bytes) used in the stream. A value of 0 signifies that the value is not known.
u(20)	Sample rate in Hz.
u(3)	(number of channels)-1. FLAC supports from 1 to 8 channels.
u(5)	(bits per sample)-1. FLAC supports from 4 to 32 bits per sample.
u(36)	Total number of interchannel samples in the stream. A value of 0 here means the number of total samples is unknown.
u(128)	MD5 checksum of the unencoded audio data. This allows the decoder to determine if an error exists in the audio data even when, despite the error, the bitstream itself is valid. A value of 0 signifies that the value is not known.

Table 3

The minimum block size and the maximum block size **MUST** be in the 16-65535 range. The minimum block size **MUST** be equal to or less than the maximum block size.

Any frame but the last one **MUST** have a block size equal to or greater than the minimum block size and **MUST** have a block size equal to or less than the maximum block size. The last frame **MUST** have a block size equal to or less than the maximum block size; it does not have to comply to the minimum block size because the block size of that frame must be able to accommodate the length of the audio data the stream contains.

If the minimum block size is equal to the maximum block size, the file contains a fixed block size stream, as the minimum block size excludes the last block. Note that in the case of a stream with a variable block size, the actual maximum block size **MAY** be smaller than the maximum block size listed in the streaminfo metadata block, and the actual smallest block size excluding the last

van Beurden & Weaver

block **MAY** be larger than the minimum block size listed in the streaminfo metadata block. This is because the encoder has to write these fields before receiving any input audio data and cannot know beforehand what block sizes it will use, only between what bounds the block sizes will be chosen.

The sample rate **MUST NOT** be 0 when the FLAC file contains audio. A sample rate of 0 **MAY** be used when non-audio is represented. This is useful if data is encoded that is not along a time axis or when the sample rate of the data lies outside the range that FLAC can represent in the streaminfo metadata block. If a sample rate of 0 is used, it is recommended to store the meaning of the encoded content in a Vorbis comment field (see Section 8.6) or an application metadata block (see Section 8.4). This document does not define such metadata.

The MD5 checksum is computed by applying the MD5 message-digest algorithm in [RFC1321]. The message to this algorithm consists of all the samples of all channels interleaved, represented in signed, little-endian form. This interleaving is on a per-sample basis, so for a stereo file, this means the first sample of the first channel, then the first sample of the second channel, then the second sample of the first channel, etc. Before computing the checksum, all samples must be byte-aligned. If the bit depth is not a whole number of bytes, the value of each sample is sign-extended to the next whole number of bytes.

In the case of a 2-channel stream with 6-bit samples, bits will be lined up as follows:

In the case of a 1-channel stream with 12-bit samples, bits are lined up in little-endian byte order as follows:

8.3. Padding

The padding metadata block allows for an arbitrary amount of padding. This block is useful when it is known that metadata will be edited after encoding; the user can instruct the encoder to reserve a padding block of sufficient size so that when metadata is added, it will simply

van Beurden & Weaver

overwrite the padding (which is relatively quick) instead of having to insert it into the existing file (which would normally require rewriting the entire file). There **MAY** be one or more padding metadata blocks per FLAC stream.

Data Description

u(n) n "0" bits (n **MUST** be a multiple of 8, i.e., a whole number of bytes, and **MAY** be zero). n is 8 times the size described in the metadata block header.

Table 4

8.4. Application

The application metadata block is for use by third-party applications. The only mandatory field is a 32-bit application identifier (application ID). Application IDs are registered in the IANA "FLAC Application Metadata Block IDs" registry (see Section 12.2).

Data	Description
u(32)	Registered application ID.
u(n)	Application data (n MUST be a multiple of 8, i.e., a whole number of bytes). n is 8 times the size described in the metadata block header minus the 32 bits already used for the application ID.

Table 5

8.5. Seek Table

The seek table metadata block can be used to store seek points. It is possible to seek to any given sample in a FLAC stream without a seek table, but the delay can be unpredictable since the bitrate may vary widely within a stream. By adding seek points to a stream, this delay can be significantly reduced. There **MUST NOT** be more than one seek table metadata block in a stream, but the table can have any number of seek points.

Each seek point takes 18 bytes, so a seek table with 1% resolution within a stream adds less than 2 kilobytes of data. The number of seek points is implied by the size described in the metadata block header, i.e., equal to size / 18. There is also a special "placeholder" seek point that will be ignored by decoders but can be used to reserve space for future seek point insertion.

Data	Description
Seek points	Zero or more seek points as defined in Section 8.5.1.
Table 6	

A seek table is generally not usable for seeking in a FLAC file embedded in a container (see Section 10), as such containers usually interleave FLAC data with other data and the offsets used in seek points are those of an unmuxed FLAC stream. Also, containers often provide their own

van Beurden & Weaver

seeking methods. However, it is possible to store the seek table in the container along with other metadata when muxing a FLAC file, so this stored seek table can be restored when demuxing the FLAC stream into a standalone FLAC file.

8.5.1. Seek Point

Data	Description
u(64)	Sample number of the first sample in the target frame or $0xFFFFFFFFFFFFFFFFFFFFFFFFFFFFFFFFFFFF$
u(64)	Offset (in bytes) from the first byte of the first frame header to the first byte of the target frame's header.
u(16)	Number of samples in the target frame.
Table 7	

Notes:

- For placeholder points, the second and third field values are undefined.
- Seek points within a table **MUST** be sorted in ascending order by sample number.
- Seek points within a table **MUST** be unique by sample number, with the exception of placeholder points.
- The previous two notes imply that there **MAY** be any number of placeholder points, but they **MUST** all occur at the end of the table.
- The sample offsets are those of an unmuxed FLAC stream. The offsets **MUST NOT** be updated on muxing to reflect the new offsets of FLAC frames in a container.

8.6. Vorbis Comment

A Vorbis comment metadata block contains human-readable information coded in UTF-8. The name "Vorbis comment" points to the fact that the Vorbis codec stores such metadata in almost the same way (see [Vorbis]). A Vorbis comment metadata block consists of a vendor string optionally followed by a number of fields, which are pairs of field names and field contents. The vendor string contains the name of the program that generated the file or stream. The fields contain metadata describing various aspects of the contained audio. Many users refer to these fields as "FLAC tags" or simply as "tags". A FLAC file **MUST NOT** contain more than one Vorbis comment metadata block.

In a Vorbis comment metadata block, the metadata block header is directly followed by 4 bytes containing the length in bytes of the vendor string as an unsigned number coded little-endian. The vendor string follows, is UTF-8 coded and is not terminated in any way.

Following the vendor string are 4 bytes containing the number of fields that are in the Vorbis comment block, stored as an unsigned number coded little-endian. If this number is non-zero, it is followed by the fields themselves, each of which is stored with a 4-byte length. For each field, the field length in bytes is stored as a 4-byte unsigned number coded little-endian. The field itself follows it. Like the vendor string, the field is UTF-8 coded and not terminated in any way.

Each field consists of a field name and field contents, separated by an = character. The field name **MUST** only consist of UTF-8 code points U+0020 through U+007E, excluding U+003D, which is the = character. In other words, the field name can contain all printable ASCII characters except the equals sign. The evaluation of the field names **MUST** be case insensitive, so U+0041 through 0+005A (A-Z) **MUST** be considered equivalent to U+0061 through U+007A (a-z). The field contents can contain any UTF-8 character.

Note that the Vorbis comment as used in Vorbis allows for 2⁶⁴ bytes of data whereas the FLAC metadata block is limited to 2²⁴ bytes. Given the stated purpose of Vorbis comments, i.e., human-readable textual information, the FLAC metadata block limit is unlikely to be restrictive. Also, note that the 32-bit field lengths are coded little-endian as opposed to the usual big-endian coding of fixed-length integers in the rest of the FLAC format.

8.6.1. Standard Field Names

Only one standard field name is defined: the channel mask field (see Section 8.6.2). No other field names are defined because the applicability of any field name is strongly tied to the content it is associated with. For example, field names that are useful for describing files that contain a single work of music would be unusable when labeling archived broadcasts, recordings of any kind, or a collection of music works. Even when describing a single work of music, different conventions exist depending on the kind of music: orchestral music differs from music by solo artists or bands.

Despite the fact that no field names are formally defined, there is a general trend among devices and software capable of FLAC playback that are meant to play music. Most of those recognize at least the following field names:

Title: Name of the current work.

Artist: Name of the artist generally responsible for the current work. For orchestral works, this is usually the composer; otherwise, it is often the performer.

Album: Name of the collection the current work belongs to.

For a more comprehensive list of possible field names suited for describing a single work of music in various genres, the list of tags used in the MusicBrainz project is suggested; see [MusicBrainz].

8.6.2. Channel Mask

Besides fields containing information about the work itself, one field is defined for technical reasons: WAVEFORMATEXTENSIBLE_CHANNEL_MASK. This field is used to communicate that the channels in a file differ from the default channels defined in Section 9.1.3. For example, by default, a FLAC file containing two channels is interpreted to contain a left and right channel, but with this field, it is possible to describe different channel contents.

The channel mask consists of flag bits indicating which channels are present. The flags only signal which channels are present, not in which order, so if a file to be encoded has channels that are ordered differently, they have to be reordered. This mask is stored with a hexadecimal representation preceded by 0x; see the examples below. Please note that a file in which the channel order is defined through the WAVEFORMATEXTENSIBLE_CHANNEL_MASK is not streamable (see Section 7), as the field is not found in each frame header. The mask bits can be found in the following table.

Bit Number	Channel Description
0	Front left
1	Front right
2	Front center
3	Low-frequency effects (LFE)
4	Back left
5	Back right
6	Front left of center
7	Front right of center
8	Back center
9	Side left
10	Side right
11	Top center
12	Top front left
13	Top front center
14	Top front right

Bit Number	Channel Description
15	Top rear left
16	Top rear center
17	Top rear right
Table 0	

Table 8

Following are three examples:

- A file has a single channel -- an LFE channel. The Vorbis comment field is WAVEFORMATEXTENSIBLE_CHANNEL_MASK=0x8.
- A file has four channels -- front left, front right, top front left, and top front right. The Vorbis comment field is WAVEFORMATEXTENSIBLE_CHANNEL_MASK=0x5003.
- An input has four channels -- back center, top front center, front center, and top rear center in that order. These have to be reordered to front center, back center, top front center, and top rear center. The Vorbis comment field added is WAVEFORMATEXTENSIBLE_CHANNEL_MASK=0x12104.

WAVEFORMATEXTENSIBLE_CHANNEL_MASK fields **MAY** be padded with zeros, for example, 0x0008 for a single LFE channel. Parsing of WAVEFORMATEXTENSIBLE_CHANNEL_MASK fields **MUST** be case-insensitive for both the field name and the field contents.

A WAVEFORMATEXTENSIBLE_CHANNEL_MASK field of 0x0 can be used to indicate that none of the audio channels of a file correlate with speaker positions. This is the case when audio needs to be decoded into speaker positions (e.g., Ambisonics B-format audio) or when a multitrack recording is contained.

It is possible for a WAVEFORMATEXTENSIBLE_CHANNEL_MASK field to code for fewer channels than are present in the audio. If that is the case, the remaining channels **SHOULD NOT** be rendered by a playback application unfamiliar with their purpose. For example, the Ambisonics UHJ format is compatible with stereo playback: its first two channels can be played back on stereo equipment, but all four channels together can be decoded into surround sound. For that example, the Vorbis comment field WAVEFORMATEXTENSIBLE_CHANNEL_MASK=0x3 would be set, indicating that the first two channels are front left and front right and other channels do not correlate with speaker positions directly.

If audio channels not assigned to any speaker are contained and decoding to speaker positions is possible, it is recommended to provide metadata on how this decoding should take place in another Vorbis comment field or an application metadata block. This document does not define such metadata.

8.7. Cuesheet

A cuesheet metadata block can be used either to store the track and index point structure of a Compact Disc Digital Audio (CD-DA) along with its audio or to provide a mechanism to store locations of interest within a FLAC file. Certain aspects of this metadata block come directly from the CD-DA specification (called Red Book), which is standardized as [IEC.60908.1999]. The description below is complete, and further reference to [IEC.60908.1999] is not needed to implement this metadata block.

Data	Description
u(128*8)	Media catalog number in ASCII printable characters 0x20-0x7E.
u(64)	Number of lead-in samples.
u(1)	1 if the cuesheet corresponds to a CD-DA; else 0.
u(7+258*8)	Reserved. All bits MUST be set to zero.
u(8)	Number of tracks in this cuesheet.
Cuesheet tracks	A number of structures as specified in Section 8.7.1 equal to the number of tracks specified previously.

The structure of a cuesheet metadata block is enumerated in the following table.

Table 9

If the media catalog number is less than 128 bytes long, it is right-padded with 0x00 bytes. For CD-DA, this is a 13-digit number followed by 115 0x00 bytes.

The number of lead-in samples has meaning only for CD-DA cuesheets; for other uses, it should be 0. For CD-DA, the lead-in is the TRACK 00 area where the table of contents is stored; more precisely, it is the number of samples from the first sample of the media to the first sample of the first index point of the first track. According to [IEC.60908.1999], the lead-in **MUST** be silent, and CD grabbing software does not usually store it; additionally, the lead-in **MUST** be at least two seconds but **MAY** be longer. For these reasons, the lead-in length is stored here so that the absolute position of the first track can be computed. Note that the lead-in stored here is the number of samples up to the first index point of the first track, not necessarily to INDEX 01 of the first track; even the first track **MAY** have INDEX 00 data.

The number of tracks **MUST** be at least 1, as a cuesheet block **MUST** have a lead-out track. For CD-DA, this number **MUST** be no more than 100 (99 regular tracks and one lead-out track). The lead-out track is always the last track in the cuesheet. For CD-DA, the lead-out track number **MUST** be 170 as specified by [IEC.60908.1999]; otherwise, it **MUST** be 255.

van Beurden & Weaver

Data	Description
u(64)	Track offset of the first index point in samples, relative to the beginning of the FLAC audio stream.
u(8)	Track number.
u(12*8)	Track ISRC.
u(1)	The track type: 0 for audio, 1 for non-audio. This corresponds to the CD- DA Q-channel control bit 3.
u(1)	The pre-emphasis flag: 0 for no pre-emphasis, 1 for pre-emphasis. This corresponds to the CD-DA Q-channel control bit 5.
u(6+13*8)	Reserved. All bits MUST be set to zero.
u(8)	The number of track index points.
Cuesheet track index points	For all tracks except the lead-out track, a number of structures as specified in Section 8.7.1.1 equal to the number of index points specified previously.

8.7.1. Cuesheet Track

Table 10

Note that the track offset differs from the one in CD-DA, where the track's offset in the table of contents (TOC) is that of the track's INDEX 01 even if there is an INDEX 00. For CD-DA, the track offset **MUST** be evenly divisible by 588 samples (588 samples = 44100 samples/s * 1/75 s).

A track number of 0 is not allowed because the CD-DA specification reserves this for the lead-in. For CD-DA, the number **MUST** be 1-99 or 170 for the lead-out; for non-CD-DA, the track number **MUST** be 255 for the lead-out. It is recommended to start with track 1 and increase sequentially. Track numbers **MUST** be unique within a cuesheet.

The track ISRC (International Standard Recording Code) is a 12-digit alphanumeric code; see [ISRC-handbook]. A value of 12 ASCII 0x00 characters **MAY** be used to denote the absence of an ISRC.

There **MUST** be at least one index point in every track in a cuesheet except for the lead-out track, which **MUST** have zero. For CD-DA, the number of index points **MUST** NOT be more than 100.

8.7.1.1. Cuesheet Track Index Point

Data	Description
u(64)	Offset in samples, relative to the track offset, of the index point.

Data	Description
u(8)	The track index point number.
u(3*8)	Reserved. All bits MUST be set to zero.
Table 11	

For CD-DA, the track index point offset **MUST** be evenly divisible by 588 samples (588 samples = 44100 samples/s * 1/75 s). Note that the offset is from the beginning of the track, not the beginning of the audio data.

For CD-DA, a track index point number of 0 corresponds to the track pre-gap. The first index point in a track **MUST** have a number of 0 or 1, and subsequently, index point numbers **MUST** increase by 1. Index point numbers **MUST** be unique within a track.

8.8. Picture

The picture metadata block contains image data of a picture in some way belonging to the audio contained in the FLAC file. Its format is derived from the Attached Picture (APIC) frame in the ID3v2 specification; see [ID3v2]. However, contrary to the APIC frame in ID3v2, the media type and description are prepended with a 4-byte length field instead of being 0x00 delimited strings. A FLAC file **MAY** contain one or more picture metadata blocks.

Note that while the length fields for media type, description, and picture data are 4 bytes in length and could code for a size up to 4 GiB in theory, the total metadata block size cannot exceed what can be described by the metadata block header, i.e., 16 MiB.

Instead of picture data, the picture metadata block can also contain a URI as described in [RFC3986].

The structure of a picture metadata block is enumerated in the following table.

Data	Description
u(32)	The picture type according to Table 13.
u(32)	The length of the media type string in bytes.
u(n*8)	The media type string as specified by [RFC2046], or the text string> to signify that the data part is a URI of the picture instead of the picture data itself. This field must be in printable ASCII characters 0x20-0x7E.
u(32)	The length of the description string in bytes.
u(n*8)	The description of the picture in UTF-8.
u(32)	The width of the picture in pixels.

Description
The height of the picture in pixels.
The color depth of the picture in bits per pixel.
For indexed-color pictures (e.g., GIF), the number of colors used; 0 for non-indexed pictures.
The length of the picture data in bytes.
The binary picture data.

Table 12

The height, width, color depth, and "number of colors" fields are for informational purposes only. Applications **MUST NOT** use them in decoding the picture or deciding how to display it, but applications **MAY** use them to decide whether or not to process a block (e.g., when selecting between different picture blocks) and **MAY** show them to the user. If a picture has no concept for any of these fields (e.g., vector images may not have a height or width in pixels) or the content of any field is unknown, the affected fields **MUST** be set to zero.

The following table contains all the defined picture types. Values other than those listed in the table are reserved. There **MAY** only be one each of picture types 1 and 2 in a file. In general practice, many FLAC playback devices and software display the contents of a picture metadata block, if present, with picture type 3 (front cover) during playback.

Value	Picture Type
0	Other
1	PNG file icon of 32x32 pixels (see [RFC2083])
2	General file icon
3	Front cover
4	Back cover
5	Liner notes page
6	Media label (e.g., CD, Vinyl or Cassette label)
7	Lead artist, lead performer, or soloist
8	Artist or performer
9	Conductor

е Туре
or orchestra
oser
st or text writer
ding location
g recording
g performance
or video screen capture
ht colored fish
ation
or artist logotype
0 71
1

Table 13

The origin and use of value 17 ("A bright colored fish") is unclear. This was copied to maintain compatibility with ID3v2. Applications are discouraged from offering this value to users when embedding a picture.

If a URI (not a picture) is contained in this block, the following points apply:

- The URI can be in either absolute or relative form. If a URI is in relative form, it is related to the URI of the FLAC content processed.
- Applications **MUST** obtain explicit user approval to retrieve images via remote protocols and to retrieve local images that are not located in the same directory as the FLAC file being processed.
- Applications supporting linked images **MUST** handle unavailability of URIs gracefully. They **MAY** report unavailability to the user.
- Applications **MAY** reject processing URIs for any reason, particularly for security or privacy reasons.

9. Frame Structure

One or more frames follow directly after the last metadata block. Each frame consists of a frame header, one or more subframes, padding zero bits to achieve byte alignment, and a frame footer. The number of subframes in each frame is equal to the number of audio channels.

van Beurden & Weaver

Each frame header stores the audio sample rate, number of bits per sample, and number of channels independently of the streaminfo metadata block and other frame headers. This was done to permit multicasting of FLAC files, but it also allows these properties to change mid-stream. Because not all environments in which FLAC decoders are used are able to cope with changes to these properties during playback, a decoder MAY choose to stop decoding on such a change. A decoder that does not check for such a change could be vulnerable to buffer overflows. See also Section 11.

Note that storing audio with changing audio properties in FLAC results in various practical problems. For example, these changes of audio properties must happen on a frame boundary or the process will not be lossless. When a variable block size is chosen to accommodate this, note that blocks smaller than 16 samples are not allowed; therefore, it is not possible to store an audio stream in which these properties change within 16 samples of the last change or the start of the file. Also, since the streaminfo metadata block can only accommodate a single set of properties, it is only valid for part of such an audio stream. Instead, it is **RECOMMENDED** to store an audio stream with changing properties in FLAC encapsulated in a container capable of handling such changes, as these do not suffer from the mentioned limitations. See Section 10 for details.

9.1. Frame Header

Each frame **MUST** start on a byte boundary and start with the 15-bit frame sync code 0b111111111100. Following the sync code is the blocking strategy bit, which **MUST NOT** change during the audio stream. The blocking strategy bit is 0 for a fixed block size stream or 1 for a variable block size stream. If the blocking strategy is known, a decoder can include this bit when searching for the start of a frame to reduce the possibility of encountering a false positive, as the first two bytes of a frame are either 0xFFF8 for a fixed block size stream or 0xFFF9 for a variable block size stream.

9.1.1. Block Size Bits

Following the frame sync code and blocking strategy bit are 4 bits (the first 4 bits of the third byte of each frame) referred to as the block size bits. Their value relates to the block size according to the following table, where v is the value of the 4 bits as an unsigned number. If the block size bits code for an uncommon block size, this is stored after the coded number; see Section 9.1.6.

Value	Block Size
0b0000	Reserved
0b0001	192
0b0010 - 0b0101	144 * (2 ^V), i.e., 576, 1152, 2304, or 4608
0b0110	Uncommon block size minus 1, stored as an 8-bit number
0b0111	Uncommon block size minus 1, stored as a 16-bit number

Value	Block Size
0b1000 - 0b1111	2 ^v , i.e., 256, 512, 1024, 2048, 4096, 8192, 16384, or 32768
Table 14	

9.1.2. Sample Rate Bits

The next 4 bits (the last 4 bits of the third byte of each frame), referred to as the sample rate bits, contain the sample rate of the audio according to the following table. If the sample rate bits code for an uncommon sample rate, this is stored after the uncommon block size; if no uncommon block size was used, this is stored after the coded number. See Section 9.1.7.

Value	Sample Rate
0b0000	Sample rate only stored in the streaminfo metadata block
0b0001	88.2 kHz
0b0010	176.4 kHz
0b0011	192 kHz
0b0100	8 kHz
0b0101	16 kHz
0b0110	22.05 kHz
0b0111	24 kHz
0b1000	32 kHz
0b1001	44.1 kHz
0b1010	48 kHz
0b1011	96 kHz
0b1100	Uncommon sample rate in kHz, stored as an 8-bit number
0b1101	Uncommon sample rate in Hz, stored as a 16-bit number
0b1110	Uncommon sample rate in Hz divided by 10, stored as a 16-bit number
0b1111	Forbidden

Table 15

9.1.3. Channels Bits

The next 4 bits (the first 4 bits of the fourth byte of each frame), referred to as the channels bits, contain both the number of channels of the audio as well as any stereo decorrelation used according to the following table.

If a channel layout different than the ones listed in the following table is used, this can be signaled with a WAVEFORMATEXTENSIBLE_CHANNEL_MASK tag in a Vorbis comment metadata block; see Section 8.6.2 for details. Note that even when such a different channel layout is specified with a WAVEFORMATEXTENSIBLE_CHANNEL_MASK and the channel ordering in the following table is overridden, the channels bits still contain the actual number of channels coded in the frame. For details on the way left-side, side-right, and mid-side stereo are coded, see Section 4.2.

Value	Channels
0b0000	1 channel: mono
0b0001	2 channels: left, right
0b0010	3 channels: left, right, center
0b0011	4 channels: front left, front right, back left, back right
0b0100	5 channels: front left, front right, front center, back/surround left, back/ surround right
0b0101	6 channels: front left, front right, front center, LFE, back/surround left, back/surround right
0b0110	7 channels: front left, front right, front center, LFE, back center, side left, side right
0b0111	8 channels: front left, front right, front center, LFE, back left, back right, side left, side right
0b1000	2 channels: left, right; stored as left-side stereo
0b1001	2 channels: left, right; stored as side-right stereo
0b1010	2 channels: left, right; stored as mid-side stereo
0b1011 - 0b1111	Reserved

Table 16

9.1.4. Bit Depth Bits

The next 3 bits (bits 5, 6, and 7 of each fourth byte of each frame) contain the bit depth of the audio according to the following table. The next bit is reserved and **MUST** be zero.

Value	Bit Depth
0b000	Bit depth only stored in the streaminfo metadata block
0b001	8 bits per sample
0b010	12 bits per sample
0b011	Reserved
0b100	16 bits per sample
0b101	20 bits per sample
0b110	24 bits per sample
0b111	32 bits per sample
Table 17	

9.1.5. Coded Number

Following the reserved bit (starting at the fifth byte of the frame) is either a sample or a frame number, which will be referred to as the coded number. When dealing with variable block size streams, the sample number of the first sample in the frame is encoded. When the file contains a fixed block size stream, the frame number is encoded. See Section 9.1 on the blocking strategy bit, which signals whether a stream is a fixed block size stream or a variable block size stream. See also Appendix B.1.

The coded number is stored in a variable-length code like UTF-8 as defined in [RFC3629] but extended to a maximum of 36 bits unencoded or 7 bytes encoded.

When a frame number is encoded, the value **MUST NOT** be larger than what fits a value of 31 bits unencoded or 6 bytes encoded. Please note that as most general purpose UTF-8 encoders and decoders follow [RFC3629], they will not be able to handle these extended codes. Furthermore, while UTF-8 is specifically used to encode characters, FLAC uses it to encode numbers instead. To encode or decode a coded number, follow the procedures in Section 3 of [RFC3629], but instead of using a character number, use a frame or sample number. In addition, use the extended table below instead of the table in Section 3 of [RFC3629].

Number Range (Hexadecimal)	Octet Sequence (Binary)
0000 0000 0000 - 0000 0000 007F	0xxxxxxx
0000 0000 0080 - 0000 0000 07FF	110xxxxx 10xxxxxx
0000 0000 0800 - 0000 0000 FFFF	1110xxxx 10xxxxxx 10xxxxxx
0000 0001 0000 - 0000 001F FFFF	11110xxx 10xxxxxx 10xxxxxx 10xxxxxx
0000 0020 0000 - 0000 03FF FFFF	111110xx 10xxxxxx 10xxxxxx 10xxxxxx 10xxxxxx
0000 0400 0000 - 0000 7FFF FFFF	1111110x 10xxxxxx 10xxxxxx 10xxxxxx 10xxxxxx 10xxxxxx
0000 8000 0000 - 000F FFFF FFFF	11111110 10xxxxxx 10xxxxxx 10xxxxxx 10xxxxxx 10xxxxxx 10xxxxxx 10xxxxxx

Table 18

If the coded number is a frame number, it **MUST** be equal to the number of frames preceding the current frame. If the coded number is a sample number, it **MUST** be equal to the number of samples preceding the current frame. In a stream where these requirements are not met, seeking is not (reliably) possible.

For example, for a frame that belongs to a variable block size stream and has exactly 51 billion samples preceding it, the coded number is constructed as follows:

```
Octets 1-5

0b11111110 0b10101111 0b10011111 0b10110101 0b10100011

^^^^^^ Bits 18-13

| Bits 30-25

Bits 36-31

Octets 6-7

0b10111000 0b10000000

^^^^^ Bits 6-1

Bits 12-7
```

van Beurden & Weaver

A decoder that relies on the coded number during seeking could be vulnerable to buffer overflows or getting stuck in an infinite loop if it seeks in a stream where the coded numbers are not strictly increasing or are otherwise not valid. See also Section 11.

9.1.6. Uncommon Block Size

If the block size bits defined earlier in this section are 0b0110 or 0b0111 (uncommon block size minus 1 stored), the block size minus 1 follows the coded number as either an 8-bit or 16-bit unsigned number coded big-endian. A value of 65535 (corresponding to a block size of 65536) is forbidden and **MUST NOT** be used, because such a block size cannot be represented in the streaminfo metadata block. A value from 0 up to (and including) 14, which corresponds to a block size from 1 to 15, is only valid for the last frame in a stream and **MUST NOT** be used for any other frame. See also Section 8.2.

9.1.7. Uncommon Sample Rate

If the sample rate bits are 0b1100, 0b1101, or 0b1110 (uncommon sample rate stored), the sample rate follows the uncommon block size (or the coded number if no uncommon block size is stored) as either an 8-bit or a 16-bit unsigned number coded big-endian.

The sample rate **MUST NOT** be 0 when the subframe contains audio. A sample rate of 0 **MAY** be used when non-audio is represented. See Section 8.2 for details.

9.1.8. Frame Header CRC

Finally, an 8-bit CRC follows the frame/sample number, an uncommon block size, or an uncommon sample rate (depending on whether the latter two are stored). This CRC is initialized with 0 and has the polynomial $x^8 + x^2 + x^1 + x^0$. This CRC covers the whole frame header before the CRC, including the sync code.

9.2. Subframes

Following the frame header are a number of subframes equal to the number of audio channels. Note that subframes contain a bitstream that does not necessarily have to be a whole number of bytes, so only the first subframe starts at a byte boundary.

9.2.1. Subframe Header

Each subframe starts with a header. The first bit of the header **MUST** be 0, followed by 6 bits that describe which subframe type is used according to the following table, where v is the value of the 6 bits as an unsigned number.

Value	Subframe Type	
0b000000	Constant subframe	
0b000001	Verbatim subframe	

Value	Subframe Type
0b000010 - 0b000111	Reserved
0b001000 - 0b001100	Subframe with a fixed predictor of order v-8; i.e., 0, 1, 2, 3 or 4
0b001101 - 0b011111	Reserved
0b100000 - 0b111111	Subframe with a linear predictor of order v-31; i.e., 1 through 32 (inclusive)

Table 19

Following the subframe type bits is a bit that flags whether the subframe uses any wasted bits (see Section 9.2.2). If the flag bit is 0, the subframe doesn't use any wasted bits and the subframe header is complete. If the flag bit is 1, the subframe uses wasted bits and the number of used wasted bits minus 1 appears in unary form, directly following the flag bit.

9.2.2. Wasted Bits per Sample

Most uncompressed audio file formats can only store audio samples with a bit depth that is an integer number of bytes. Samples in which the bit depth is not an integer number of bytes are usually stored in such formats by padding them with least-significant zero bits to a bit depth that is an integer number of bytes. For example, shifting a 14-bit sample right by 2 pads it to a 16-bit sample, which then has two zero least-significant bits. In this specification, these least-significant zero bits are referred to as wasted bits per sample or simply wasted bits. They are wasted in the sense that they contain no information but are stored anyway.

The FLAC format can optionally take advantage of these wasted bits by signaling their presence and coding the subframe without them. To do this, the wasted bits per sample flag in a subframe header is set to 1 and the number of wasted bits per sample (k) minus 1 follows the flag in an unary encoding. For example, if k is 3, 0b001 follows. If k = 0, the wasted bits per sample flag is 0 and no unary-coded k follows. In this document, if a subframe header signals a certain number of wasted bits, it is said it "uses" these wasted bits.

If a subframe uses wasted bits (i.e., k is not equal to 0), samples are coded ignoring k leastsignificant bits. For example, if a frame not employing stereo decorrelation specifies a sample size of 16 bits per sample in the frame header and k of a subframe is 3, samples in the subframe are coded as 13 bits per sample. For more details, see Section 9.2.3 on how the bit depth of a subframe is calculated. A decoder **MUST** add k least-significant zero bits by shifting left (padding) after decoding a subframe sample. If the frame has left-side, side-right, or mid-side stereo, a decoder **MUST** perform padding on the subframes before restoring the channels to left and right. The number of wasted bits per sample **MUST** be such that the resulting number of bits per sample (of which the calculation is explained in Section 9.2.3) is larger than zero. Besides audio files that have a certain number of wasted bits for the whole file, audio files exist in which the number of wasted bits varies. There are DVD-Audio discs in which blocks of samples have had their least-significant bits selectively zeroed to slightly improve the compression of their otherwise lossless Meridian Lossless Packing codec; see [MLP]. There are also audio processors like lossyWAV (see [lossyWAV]) that zero a number of least-significant bits for a block of samples, increasing the compression in a non-lossless way. Because of this, the number of wasted bits k MAY change between frames and MAY differ between subframes. If the number of wasted bits changes halfway through a subframe (e.g., the first part has 2 wasted bits and the second part has 4 wasted bits), the subframe uses the lowest number of wasted bits; otherwise, non-zero bits would be discarded, and the process would not be lossless.

9.2.3. Constant Subframe

In a constant subframe, only a single sample is stored. This sample is stored as an integer number coded big-endian, signed two's complement. The number of bits used to store this sample depends on the bit depth of the current subframe. The bit depth of a subframe is equal to the bit depth as coded in the frame header (see Section 9.1.4) minus the number of used wasted bits coded in the subframe header (see Section 9.2.2). If a subframe is a side subframe (see Section 4.2), the bit depth of that subframe is increased by 1 bit.

9.2.4. Verbatim Subframe

A verbatim subframe stores all samples unencoded in sequential order. See Section 9.2.3 on how a sample is stored unencoded. The number of samples that need to be stored in a subframe is provided by the block size in the frame header.

9.2.5. Fixed Predictor Subframe

Five different fixed predictors are defined in the following table, one for each prediction order 0 through 4. The table also contains a derivation that explains the rationale for choosing these fixed predictors.

Order	Prediction	Derivation
0	0	N/A
1	a(n-1)	N/A
2	2 * a(n-1) - a(n-2)	a(n-1) + a'(n-1)
3	3 * a(n-1) - 3 * a(n-2) + a(n-3)	a(n-1) + a'(n-1) + a''(n-1)
4	4 * a(n-1) - 6 * a(n-2) + 4 * a(n-3) - a(n-4)	a(n-1) + a'(n-1) + a''(n-1) + a'''(n-1)
Table 20		

Where:

- n is the number of the sample being predicted.
- a(n) is the sample being predicted.

- a(n-1) is the sample before the one being predicted.
- a'(n-1) is the difference between the previous sample and the sample before that, i.e., a(n-1) a(n-2). This is the closest available first-order discrete derivative.
- a"(n-1) is a'(n-1) a'(n-2) or the closest available second-order discrete derivative.
- a'''(n-1) is a''(n-1) a''(n-2) or the closest available third-order discrete derivative.

As a predictor makes use of samples preceding the sample that is predicted, it can only be used when enough samples are known. As each subframe in FLAC is coded completely independently, the first few samples in each subframe cannot be predicted. Therefore, a number of so-called warm-up samples equal to the predictor order is stored. These are stored unencoded, bypassing the predictor and residual coding stages. See Section 9.2.3 on how samples are stored unencoded. The table below defines how a fixed predictor subframe appears in the bitstream.

Data	Description
s(n)	Unencoded warm-up samples (n = subframe's bits per sample * predictor order).
Coded residual	Coded residual as defined in Section 9.2.7
Tahla 21	

Table 21

Because fixed predictors are specified, they do not have to be stored. The fixed predictor order, which is stored in the subframe header, specifies which predictor is used.

To encode a signal with a fixed predictor, each sample has the corresponding prediction subtracted and sent to the residual coder. To decode a signal with a fixed predictor, the residual is decoded, and then the prediction can be added for each sample. This means that decoding is necessarily a sequential process within a subframe, as for each sample, enough fully decoded previous samples are needed to calculate the prediction.

For fixed predictor order 0, the prediction is always 0; thus, each residual sample is equal to its corresponding input or decoded sample. The difference between a fixed predictor with order 0 and a verbatim subframe is that a verbatim subframe stores all samples unencoded while a fixed predictor with order 0 has all its samples processed by the residual coder.

The first-order fixed predictor is comparable to how differential pulse-code modulation (DPCM) encoding works, as the resulting residual sample is the difference between the corresponding sample and the sample before it. The higher-order fixed predictors can be understood as polynomials fitted to the previous samples.

9.2.6. Linear Predictor Subframe

Whereas fixed predictors are well suited for simple signals, using a (non-fixed) linear predictor on more complex signals can improve compression by making the residual samples even smaller. There is a certain trade-off, however, as storing the predictor coefficients takes up space as well. In the FLAC format, a predictor is defined by up to 32 predictor coefficients and a shift. To form a prediction, each coefficient is multiplied by its corresponding past sample, the results are summed, and this sum is then shifted. To encode a signal with a linear predictor, each sample has the corresponding prediction subtracted and sent to the residual coder. To decode a signal with a linear predictor, the residual is decoded, and then the prediction can be added for each sample. This means that decoding **MUST** be a sequential process within a subframe, as enough decoded samples are needed to calculate the prediction for each sample.

The table below defines how a linear predictor subframe appears in the bitstream.

Description
Unencoded warm-up samples (n = subframe's bits per sample * LPC order).
(Predictor coefficient precision in bits)-1 (Note: 0b1111 is forbidden).
Prediction right shift needed in bits.
Predictor coefficients (n = predictor coefficient precision * LPC order).
Coded residual as defined in Section 9.2.7.



See Section 9.2.3 on how the warm-up samples are stored unencoded. The predictor coefficients are stored as an integer number coded big-endian, signed two's complement, where the number of bits needed for each coefficient is defined by the predictor coefficient precision. While the prediction right shift is signed two's complement, this number **MUST NOT** be negative; see Appendix B.4 for an explanation why this is.

Please note that the order in which the predictor coefficients appear in the bitstream corresponds to which **past** sample they belong to. In other words, the order of the predictor coefficients is opposite to the chronological order of the samples. So, the first predictor coefficient has to be multiplied with the sample directly before the sample that is being predicted, the second predictor coefficient has to be multiplied with the sample directly before the sample before that, etc.

9.2.7. Coded Residual

The first two bits in a coded residual indicate which coding method is used. See the table below.

Value	Description
0b00	Partitioned Rice code with 4-bit parameters
0b01	Partitioned Rice code with 5-bit parameters
0b10 - 0b11	Reserved
Table 22	

Table 23

van Beurden & Weaver
Both defined coding methods work the same way but differ in the number of bits used for Rice parameters. The 4 bits that directly follow the coding method bits form the partition order, which is an unsigned number. The rest of the coded residual consists of $2^{(\text{partition order})}$ partitions. For example, if the 4 bits are 0b1000, the partition order is 8, and the residual is split up into $2^8 = 256$ partitions.

Each partition contains a certain number of residual samples. The number of residual samples in the first partition is equal to (block size >> partition order) - predictor order, i.e., the block size divided by the number of partitions minus the predictor order. In all other partitions, the number of residual samples is equal to (block size >> partition order).

The partition order **MUST** be such that the block size is evenly divisible by the number of partitions. This means, for example, that only partition order 0 is allowed for all odd block sizes. The partition order also **MUST** be such that the (block size >> partition order) is larger than the predictor order. This means, for example, that with a block size of 4096 and a predictor order of 4, the partition order cannot be larger than 9.

Each partition starts with a parameter. If the coded residual of a subframe is one with 4-bit Rice parameters (see Table 23), the first 4 bits of each partition are either a Rice parameter or an escape code. These 4 bits indicate an escape code if they are 0b1111; otherwise, they contain the Rice parameter as an unsigned number. If the coded residual of the current subframe is one with 5-bit Rice parameters, the first 5 bits of each partition indicate an escape code if they are 0b1111; otherwise, they contain the Rice parameters, the first 5 bits of each partition indicate an escape code if they are 0b1111; otherwise, they contain the Rice parameter as an unsigned number as well.

9.2.7.1. Escaped Partition

If an escape code was used, the partition does not contain a variable-length Rice-coded residual; rather, it contains a fixed-length unencoded residual. Directly following the escape code are 5 bits containing the number of bits with which each residual sample is stored, as an unsigned number. The residual samples themselves are stored signed two's complement. For example, when a partition is escaped and each residual sample is stored with 3 bits, the number -1 is represented as 0b111.

Note that it is possible that the number of bits with which each sample is stored is 0, which means that all residual samples in that partition have a value of 0 and that no bits are used to store the samples. In that case, the partition contains nothing except the escape code and 0b00000.

9.2.7.2. Rice Code

If a Rice parameter was provided for a certain partition, that partition contains a Rice-coded residual. The residual samples, which are signed numbers, are represented by unsigned numbers in the Rice code. For positive numbers, the representation is the number doubled. For negative numbers, the representation is the number multiplied by -2 and with 1 subtracted. This representation of signed numbers is also known as zigzag encoding. The zigzag-encoded residual is called the folded residual.

van Beurden & Weaver

Each folded residual sample is then split into two parts, a most-significant part and a leastsignificant part. The Rice parameter at the start of each partition determines where that split lies: it is the number of bits in the least-significant part. Each residual sample is then stored by coding the most-significant part as unary, followed by the least-significant part as binary.

For example, take a partition with Rice parameter 3 containing a folded residual sample with 38 as its value, which is 0b100110 in binary. The most-significant part is 0b100 (4) and is stored in unary form as 0b00001. The least-significant part is 0b110 (6) and is stored as is. The Rice code word is thus 0b00001110. The Rice code words for all residual samples in a partition are stored consecutively.

To decode a Rice code word, zero bits must be counted until encountering a one bit, after which a number of bits given by the Rice parameter must be read. The count of zero bits is shifted left by the Rice parameter (i.e., multiplied by 2 raised to the power Rice parameter) and bitwise ORed with (i.e., added to) the read value. This is the folded residual value. An even folded residual value is shifted right 1 bit (i.e., divided by 2) to get the (unfolded) residual value. An odd folded residual value is shifted right 1 bit and then has all bits flipped (1 added to and divided by -2) to get the (unfolded) residual value, subject to negative numbers being signed two's complement on the decoding machine.

Appendix D shows decoding of a complete coded residual.

9.2.7.3. Residual Sample Value Limit

All residual sample values **MUST** be representable in the range offered by a 32-bit integer, signed one's complement. Equivalently, all residual sample values **MUST** fall in the range offered by a 32-bit integer signed two's complement, excluding the most negative possible value of that range. This means residual sample values **MUST** NOT have an absolute value equal to, or larger than, 2 to the power 31. A FLAC encoder **MUST** make sure of this. If a FLAC encoder is, for a certain subframe, unable to find a suitable predictor for which all residual samples fall within said range, it **MUST** default to writing a verbatim subframe. Appendix A explains in which circumstances residual samples are already implicitly representable in said range; thus, an additional check is not needed.

The reason for this limit is to ensure that decoders can use 32-bit integers when processing residuals, simplifying decoding. The reason the most negative value of a 32-bit integer signed two's complement is specifically excluded is to prevent decoders from having to implement specific handling of that value, as it cannot be negated within a 32-bit signed integer, and most library routines calculating an absolute value have undefined behavior for processing that value.

9.3. Frame Footer

Following the last subframe is the frame footer. If the last subframe is not byte aligned (i.e., the number of bits required to store all subframes put together is not divisible by 8), zero bits are added until byte alignment is reached. Following this is a 16-bit CRC, initialized with 0, with the polynomial $x^{16} + x^{15} + x^2 + x^0$. This CRC covers the whole frame, excluding the 16-bit CRC but including the sync code.

van Beurden & Weaver

10. Container Mappings

The FLAC format can be used without any container, as it already provides for the most basic features normally associated with a container. However, the functionality this basic container provides is rather limited, and for more advanced features (such as combining FLAC audio with video), it needs to be encapsulated by a more capable container. This presents a problem: because of these container features, the FLAC format mixes data that belongs to the encoded data (like block size and sample rate) with data that belongs to the container (like checksum and timecode). The choice was made to encapsulate FLAC frames as they are, which means some data will be duplicated and potentially deviating between the FLAC frames and the encapsulating container.

As FLAC frames are completely independent of each other, container format features handling dependencies do not need to be used. For example, all FLAC frames embedded in Matroska are marked as keyframes when they are stored in a SimpleBlock, and tracks in an MP4 file containing only FLAC frames do not need a sync sample box.

10.1. Ogg Mapping

The Ogg container format is defined in [RFC3533]. The first packet of a logical bitstream carrying FLAC data is structured according to the following table.

Data	Description
5 bytes	Bytes 0x7F 0x46 0x4C 0x41 0x43 (as also defined by [RFC5334]).
2 bytes	Version number of the FLAC-in-Ogg mapping. These bytes are 0x01 0x00, meaning version 1.0 of the mapping.
2 bytes	Number of header packets (excluding the first header packet) as an unsigned number coded big-endian.
4 bytes	The fLaC signature.
4 bytes	A metadata block header for the streaminfo metadata block.
34 bytes	A streaminfo metadata block.
	A streaminfo metadata block.

Table 24

The number of header packets **MAY** be 0, which means the number of packets that follow is unknown. This first packet **MUST NOT** share a Ogg page with any other packets. This means the first page of a logical stream of FLAC-in-Ogg is always 79 bytes.

Following the first packet are one or more header packets, each of which contains a single metadata block. The first of these packets **SHOULD** be a Vorbis comment metadata block for historic reasons. This is contrary to unencapsulated FLAC streams, where the order of metadata blocks is not important except for the streaminfo metadata block and where a Vorbis comment metadata block is optional.

Following the header packets are audio packets. Each audio packet contains a single FLAC frame. The first audio packet **MUST** start on a new Ogg page, i.e., the last metadata block **MUST** finish its page before any audio packets are encapsulated.

The granule position of all pages containing header packets **MUST** be 0. For pages containing audio packets, the granule position is the number of the last sample contained in the last completed packet in the frame. The sample numbering considers interchannel samples. If a page contains no packet end (e.g., when it only contains the start of a large packet that continues on the next page), then the granule position is set to the maximum value possible, i.e., 0xFF 0xFF 0xFF 0xFF 0xFF.

The granule position of the first audio data page with a completed packet **MAY** be larger than the number of samples contained in packets that complete on that page. In other words, the apparent sample number of the first sample in the stream following from the granule position and the audio data **MAY** be larger than 0. This allows, for example, a server to cast a live stream to several clients that joined at different moments without rewriting the granule position for each client.

If an audio stream is encoded where audio properties (sample rate, number of channels, or bit depth) change at some point in the stream, this should be dealt with by finishing encoding of the current Ogg stream and starting a new Ogg stream, concatenated to the previous one. This is called chaining in Ogg. See the Ogg specification [RFC3533] for details.

10.2. Matroska Mapping

The Matroska container format is defined in [RFC9559]. The codec ID (EBML path \Segment\Tracks\TrackEntry\CodecID) assigned to signal tracks carrying FLAC data is A_FLAC in ASCII. All FLAC data before the first audio frame (i.e., the fLaC ASCII signature and all metadata blocks) is stored as CodecPrivate data (EBML path \Segment\Tracks\TrackEntry\CodecPrivate).

Each FLAC frame (including all of its subframes) is treated as a single frame in the context of Matroska.

If an audio stream is encoded where audio properties (sample rate, number of channels, or bit depth) change at some point in the stream, this should be dealt with by finishing the current Matroska segment and starting a new one with the new properties.

10.3. ISO Base Media File Format (MP4) Mapping

The full encapsulation definition of FLAC audio in MP4 files was deemed too extensive to include in this document. A definition document can be found at [FLAC-in-MP4-specification].

11. Security Considerations

Like any other codec (such as [RFC6716]), FLAC should not be used with insecure ciphers or cipher modes that are vulnerable to known plaintext attacks. Some of the header bits, as well as the padding, are easily predictable.

Implementations of the FLAC codec need to take appropriate security considerations into account. Section 2.1 of [RFC4732] provides general information on DoS attacks on end systems and describes some mitigation strategies. Areas of concern specific to FLAC follow.

It is extremely important for the decoder to be robust against malformed payloads. Payloads that do not conform to this specification **MUST NOT** cause the decoder to overrun its allocated memory or take an excessive amount of resources to decode. An overrun in allocated memory could lead to arbitrary code execution by an attacker. The same applies to the encoder, even though problems with encoders are typically rarer. Malformed audio streams **MUST NOT** cause the encoder to misbehave because this would allow an attacker to attack transcoding gateways.

As with all compression algorithms, both encoding and decoding can produce an output much larger than the input. For decoding, the most extreme possible case of this is a frame with eight constant subframes of block size 65535 and coding for 32-bit PCM. This frame is only 49 bytes in size but codes for more than 2 megabytes of uncompressed PCM data. For encoding, it is possible to have an even larger size increase, although such behavior is generally considered faulty. This happens if the encoder chooses a Rice parameter that does not fit with the residual that has to be encoded. In such a case, very long unary-coded symbols can appear (in the most extreme case, more than 4 gigabytes per sample). Decoder and encoder implementors are advised to take precautions to prevent excessive resource utilization in such cases.

Where metadata is handled, implementors are advised to either thoroughly test the handling of extreme cases or impose reasonable limits beyond the limits of this specification. For example, a single Vorbis comment metadata block can contain millions of valid fields. It is unlikely such a limit is ever reached except in a potentially malicious file. Likewise, the media type and description of a picture metadata block can be millions of characters long, despite there being no reasonable use of such contents. One possible use case for very long character strings is in lyrics, which can be stored in Vorbis comment metadata block fields.

Various kinds of metadata blocks contain length fields or field counts. While reading a block following these lengths or counts, a decoder **MUST** make sure higher-level lengths or counts (most importantly, the length field of the metadata block itself) are not exceeded. As some of these length fields code string lengths and memory must be allocated for that, parsers **MUST** first verify that a block is valid before allocating memory based on its contents, except when explicitly instructed to salvage data from a malformed file.

Metadata blocks can also contain references, e.g., the picture metadata block can contain a URI. When following a URI, the security considerations of [RFC3986] apply. Applications **MUST** obtain explicit user approval to retrieve resources via remote protocols. Following external URIs introduces a tracking risk from on-path observers and the operator of the service hosting the

van Beurden & Weaver

URI. Likewise, the choice of scheme, if it isn't protected like https, could also introduce integrity attacks by an on-path observer. A malicious operator of the service hosting the URI can return arbitrary content that the parser will read. Also, such retrievals can be used in a DDoS attack when the URI points to a potential victim. Therefore, applications need to ask user approval for each retrieval individually, take extra precautions when parsing retrieved data, and cache retrieved resources. Applications **MUST** obtain explicit user approval to retrieve local resources not located in the same directory as the FLAC file being processed. Since relative URIs are permitted, applications **MUST** guard against directory traversal attacks and guard against a violation of a same-origin policy if such a policy is being enforced.

Seeking in a FLAC stream that is not in a container relies on the coded number in frame headers and optionally a seek table metadata block. Parsers **MUST** employ thorough checks on whether a found coded number or seek point is at all possible, e.g., whether it is within bounds and not directly contradicting any other coded number or seek point that the seeking process relies on. Without these checks, seeking might get stuck in an infinite loop when numbers in frames are non-consecutive or otherwise not valid, which could be used in DoS attacks.

Implementors are advised to employ fuzz testing combined with different sanitizers on FLAC decoders to find security problems. Ignoring the results of CRC checks improves the efficiency of decoder fuzz testing.

See [FLAC-decoder-testbench] for a non-exhaustive list of FLAC files with extreme configurations that lead to crashes or reboots on some known implementations. Besides providing a starting point for security testing, this set of files can also be used to test conformance with this specification.

FLAC files may contain executable code, although the FLAC format is not designed for it and it is uncommon. One use case where FLAC is occasionally used to store executable code is when compressing images of mixed-mode CDs, which contain both audio and non-audio data, the non-audio portion of which can contain executable code. In that case, the executable code is stored as if it were audio and is potentially obscured. Of course, it is also possible to store executable code as metadata, for example, as a Vorbis comment with help of a binary-to-text encoding or directly in an application metadata block. Applications **MUST NOT** execute code contained in FLAC files or present parts of FLAC files as executable code to the user, except when an application has that explicit purpose, e.g., applications reading FLAC files as disc images and presenting it as a virtual disc drive.

12. IANA Considerations

Per this document, IANA has registered one new media type ("audio/flac") and created a new IANA registry, as described in the subsections below.

12.1. Media Type Registration

IANA has registered the "audio/flac" media type as follows. This media type is applicable for FLAC audio that is not packaged in a container as described in Section 10. FLAC audio packaged in such a container will take on the media type of that container, for example, "audio/ogg" when packaged in an Ogg container or "video/mp4" when packaged in an MP4 container alongside a video track.

Type name: audio Subtype name: flac Required parameters: N/A Optional parameters: N/A Encoding considerations: as per RFC 9639 Security considerations: See the security considerations in Section 11 of RFC 9639. Interoperability considerations: See the descriptions of past format changes in Appendix B of RFC 9639. Published specification: RFC 9639 Applications that use this media type: FFmpeg, Apache, Firefox Fragment identifier considerations: N/A Additional information: Deprecated alias names for this type: audio/x-flac Magic number(s): fLaC File extension(s): flac Macintosh file type code(s): N/A Uniform Type Identifier: org.xiph.flac conforms to public.audio Windows Clipboard Format Name: audio/flac Person & email address to contact for further information: IETF CELLAR Working Group (cellar@ietf.org) Intended usage: COMMON Restrictions on usage: N/A Author: IETF CELLAR Working Group

Change controller: Internet Engineering Task Force (iesg@ietf.org)

van Beurden & Weaver

12.2. FLAC Application Metadata Block IDs Registry

IANA has created a new registry called the "FLAC Application Metadata Block IDs" registry. The values correspond to the 32-bit identifier described in Section 8.4.

To register a new application ID in this registry, one needs an application ID, a description, an optional reference to a document describing the application ID, and a Change Controller (IETF or email of registrant). The application IDs are allocated according to the "First Come First Served" policy [RFC8126] so that there is no impediment to registering any application IDs the FLAC community encounters, especially if they were used in audio files but were not registered when the audio files were encoded. An application ID can be any 32-bit value but is often composed of 4 ASCII characters that are human-readable.

The initial contents of "FLAC Application Metadata Block IDs" registry are shown in the table below. These initial values were taken from the registration page at xiph.org (see [ID-registration-page]), which is no longer being maintained as it has been replaced by this registry.

Application ID	ASCII Rendition (If Available)	Description	Reference	Change Controller
0x41544348	ATCH	FlacFile	[<mark>FlacFile]</mark> , RFC 9639	IETF
0x42534F4C	BSOL	beSolo	RFC 9639	IETF
0x42554753	BUGS	Bugs Player	RFC 9639	IETF
0x43756573	Cues	GoldWave cue points	RFC 9639	IETF
0x46696361	Fica	CUE Splitter	RFC 9639	IETF
0x46746F6C	Ftol	flac-tools	RFC 9639	IETF
0x4D4F5442	МОТВ	MOTB MetaCzar	RFC 9639	IETF
0x4D505345	MPSE	MP3 Stream Editor	RFC 9639	IETF
0x4D754D4C	MuML	MusicML: Music Metadata Language	RFC 9639	IETF
0x52494646	RIFF	Sound Devices RIFF chunk storage	RFC 9639	IETF
0x5346464C	SFFL	Sound Font FLAC	RFC 9639	IETF

van Beurden & Weaver

Application ID	ASCII Rendition (If Available)	Description	Reference	Change Controller
0x534F4E59	SONY	Sony Creative Software	RFC 9639	IETF
0x5351455A	SQEZ	flacsqueeze	RFC 9639	IETF
0x54745776	TtWv	TwistedWave	RFC 9639	IETF
0x55495453	UITS	UITS Embedding tools	RFC 9639	IETF
0x61696666	aiff	FLAC AIFF chunk storage	[Foreign- metadata], RFC 9639	IETF
0x696D6167	imag	flac-image	RFC 9639	IETF
0x7065656D	peem	Parseable Embedded Extensible Metadata	RFC 9639	IETF
0x71667374	qfst	QFLAC Studio	RFC 9639	IETF
0x72696666	riff	FLAC RIFF chunk storage	[Foreign- metadata], RFC 9639	IETF
0x74756E65	tune	TagTuner	RFC 9639	IETF
0x77363420	w64	FLAC Wave64 chunk storage	[Foreign- metadata], RFC 9639	IETF
0x78626174	xbat	XBAT	RFC 9639	IETF
0x786D6364	xmcd	xmcd	RFC 9639	IETF

Table 25

13. References

13.1. Normative References

[ISRC-handbook] International ISRC Registration Authority, "International Standard Recording Code (ISRC) Handbook", 4th edition, 2021, <<u>https://www.ifpi.org/isrc_handbook/</u> >.

[RFC1321]	Rivest, R., "The MD5 Message-Digest Algorithm", RFC 1321, DOI 10.17487/ RFC1321, April 1992, < <u>https://www.rfc-editor.org/info/rfc1321</u> >.
[RFC2046]	Freed, N. and N. Borenstein, "Multipurpose Internet Mail Extensions (MIME) Part Two: Media Types", RFC 2046, DOI 10.17487/RFC2046, November 1996, https://www.rfc-editor.org/info/rfc2046 >.
[RFC2083]	Boutell, T., "PNG (Portable Network Graphics) Specification Version 1.0", RFC 2083, DOI 10.17487/RFC2083, March 1997, < <u>https://www.rfc-editor.org/info/rfc2083</u> >.
[RFC2119]	Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, DOI 10.17487/RFC2119, March 1997, < <u>https://www.rfc-editor.org/info/rfc2119</u> >.
[RFC3533]	Pfeiffer, S., "The Ogg Encapsulation Format Version 0", RFC 3533, DOI 10.17487/ RFC3533, May 2003, < <u>https://www.rfc-editor.org/info/rfc3533</u> >.
[RFC3629]	Yergeau, F., "UTF-8, a transformation format of ISO 10646", STD 63, RFC 3629, DOI 10.17487/RFC3629, November 2003, < <u>https://www.rfc-editor.org/info/rfc3629</u> >.
[RFC3986]	Berners-Lee, T., Fielding, R., and L. Masinter, "Uniform Resource Identifier (URI): Generic Syntax", STD 66, RFC 3986, DOI 10.17487/RFC3986, January 2005, < <u>https://www.rfc-editor.org/info/rfc3986</u> >.
[RFC8174]	Leiba, B., "Ambiguity of Uppercase vs Lowercase in RFC 2119 Key Words", BCP 14, RFC 8174, DOI 10.17487/RFC8174, May 2017, < <u>https://www.rfc-editor.org/info/rfc8174</u> >.
[DEC0550]	I homma S. Bunkus M. and D. Dica. "Matrocka Modia Container Format

[RFC9559] Lhomme, S., Bunkus, M., and D. Rice, "Matroska Media Container Format Specification", RFC 9559, DOI 10.17487/RFC9559, October 2024, https://www.rfc-editor.org/info/rfc9559>.

13.2. Informative References

- **[Durbin]** Durbin, J., "The Fitting of Time-Series Models", Revue de l'Institut International de Statistique / Review of the International Statistical Institute, vol. 28, no. 3, pp. 233-44, DOI 10.2307/1401322, 1960, https://www.jstor.org/stable/1401322.
 - [FIR] Wikipedia, "Finite impulse response", August 2024, <https://en.wikipedia.org/w/ index.php?title=Finite_impulse_response&oldid=1240945295>.
- **[FLAC-decoder-testbench]** "The Free Lossless Audio Codec (FLAC) test files", commit aa7b0c6, August 2023, <<u>https://github.com/ietf-wg-cellar/flac-test-files</u>>.
- [FLAC-implementation] "FLAC", <https://xiph.org/flac/>.

[FLAC-in-MP4-specification] "Encapsulation of FLAC in ISO Base Media File Format", commit 78d85dd, July 2022, <<u>https://github.com/xiph/flac/blob/master/doc/isoflac.txt</u>>.

van Beurden & Weaver

- [FLAC-specification-github] "The Free Lossless Audio Codec (FLAC) Specification", <https://github.com/ietf-wg-cellar/flac-specification>.
- [FLAC-wiki-interoperability] "Interoperability considerations", commit 58a06d6, https://github.com/ietf-wg-cellar/flac-specification/wiki/Interoperability-considerations>.
 - [FlacFile] "FlacFile", Wayback Machine archive, October 2007, <https://web.archive.org/ web/20071023070305/http://firestuff.org:80/flacfile/>.
- [Foreign-metadata] "Specification of foreign metadata storage in FLAC", commit 72787c3, November 2023, <<u>https://github.com/xiph/flac/blob/master/doc/</u> foreign_metadata_storage.md>.
- [ID-registration-page] Xiph.Org, "ID registry", <https://xiph.org/flac/id.html>.
 - [ID3v2] Nilsson, M., "ID3 tag version 2.4.0 Native Frames", Wayback Machine archive, November 2000, <<u>https://web.archive.org/web/20220903174949/https://id3.org/</u> id3v2.4.0-frames>.
- [IEC.60908.1999] International Electrotechnical Commission, "Audio recording Compact disc digital audio system", IEC 60908:1999-02, 1999, <<u>https://webstore.iec.ch/</u> publication/3885>.
- [LinearPrediction] Wikipedia, "Linear prediction", August 2023, <https://en.wikipedia.org/w/ index.php?title=Linear_prediction&oldid=1169015573>.
- [Lossless-Compression] Hans, M. and R. W. Schafer, "Lossless compression of digital audio", IEEE Signal Processing Magazine, vol. 18, no. 4, pp. 21-32, DOI 10.1109/79.939834, July 2001, https://ieeexplore.ieee.org/document/939834>.
 - **[lossyWAV]** Hydrogenaudio Knowledgebase, "lossyWAV", July 2021, <<u>https://</u>wiki.hydrogenaud.io/index.php?title=LossyWAV&oldid=32877>.
 - [MLP] Gerzon, M. A., Craven, P. G., Stuart, J. R., Law, M. J., and R. J. Wilson, "The MLP Lossless Compression System", Audio Engineering Society Conference: 17th International Conference: High-Quality Audio Codin, September 1999, https://www.aes.org/e-lib/online/browse.cfm?elib=8082>.
- [MusicBrainz] MusicBrainz, "Tags & Variables", MusicBrainz Picard v2.10 documentation, <<u>https://picard-docs.musicbrainz.org/en/variables/variables.html</u>>.
 - [RFC4732] Handley, M., Ed., Rescorla, E., Ed., and IAB, "Internet Denial-of-Service Considerations", RFC 4732, DOI 10.17487/RFC4732, December 2006, <<u>https://www.rfc-editor.org/info/rfc4732</u>>.
 - [RFC5334] Goncalves, I., Pfeiffer, S., and C. Montgomery, "Ogg Media Types", RFC 5334, DOI 10.17487/RFC5334, September 2008, <https://www.rfc-editor.org/info/rfc5334>.

van Beurden & Weaver

[RFC6716]	Valin, JM., Vos, K., and T. Terriberry, "Definition of the Opus Audio Codec", RFC
	6716, DOI 10.17487/RFC6716, September 2012, <https: <="" info="" th="" www.rfc-editor.org=""></https:>
	rfc6716>.

- [RFC8126] Cotton, M., Leiba, B., and T. Narten, "Guidelines for Writing an IANA Considerations Section in RFCs", BCP 26, RFC 8126, DOI 10.17487/RFC8126, June 2017, <<u>https://www.rfc-editor.org/info/rfc8126</u>>.
 - [Rice] Rice, R. F. and J. R. Plaunt, "Adaptive Variable-Length Coding for Efficient Compression of Spacecraft Television Data", IEEE Transactions on Communication Technology, vol. 19, no. 6, pp. 889-897, DOI 10.1109/TCOM. 1971.1090789, December 1971, https://ieeexplore.ieee.org/document/1090789>.
- [Robinson-TR156] Robinson, T., "SHORTEN: Simple lossless and near-lossless waveform compression", Cambridge University Engineering Department Technical Report CUED/F-INFENG/TR.156, December 1994, <<u>https://mi.eng.cam.ac.uk/reports/svr-ftp/auto-pdf/robinson_tr156.pdf</u>>.
 - [Shannon] Shannon, C. E., "Communication in the Presence of Noise", Proceedings of the IRE, vol. 37, no. 1, pp. 10-21, DOI 10.1109/JRPROC.1949.232969, January 1949, https://ieeexplore.ieee.org/document/1697831.
- **[VarLengthCode]** Wikipedia, "Variable-length code", April 2024, <<u>https://en.wikipedia.org/w/</u> index.php?title=Variable-length_code&oldid=1220260423>.
 - [Vorbis] Xiph.Org, "Ogg Vorbis I format specification: comment field and header specification", <<u>https://xiph.org/vorbis/doc/v-comment.html</u>>.

Appendix A. Numerical Considerations

In order to maintain lossless behavior, all arithmetic used in encoding and decoding sample values must be done with integer data types to eliminate the possibility of introducing rounding errors associated with floating-point arithmetic. Use of floating-point representations in analysis (e.g., finding a good predictor or Rice parameter) is not a concern as long as the process of using the found predictor and Rice parameter to encode audio samples is implemented with only integer math.

Furthermore, the possibility of integer overflow can be eliminated by using data types that are large enough. Choosing a 64-bit signed data type for all arithmetic involving sample values would make sure the possibility for overflow is eliminated, but usually, smaller data types are chosen for increased performance, especially in embedded devices. This appendix provides guidelines for choosing the appropriate data type for each step of encoding and decoding FLAC files.

In this appendix, signed data types are signed two's complement.

van Beurden & Weaver

A.1. Determining the Necessary Data Type Size

To find the smallest data type size that is guaranteed not to overflow for a certain sequence of arithmetic operations, the combination of values producing the largest possible result should be considered.

For example, if two 16-bit signed integers are added, the largest possible result forms if both values are the largest number that can be represented with a 16-bit signed integer. To store the result, a signed integer data type with at least 17 bits is needed. Similarly, when adding 4 of these values, 18 bits are needed; when adding 8, 19 bits are needed, etc. In general, the number of bits necessary when adding numbers together is increased by the log base 2 of the number of values rounded up to the nearest integer. So, when adding 18 unknown values stored in 8-bit signed integers, we need a signed integer data type of at least 13 bits to store the result, as the log base 2 of 18 rounded up is 5.

When multiplying two numbers, the number of bits needed for the result is the size of the first number plus the size of the second number. For example, if a 16-bit signed integer is multiplied by another 16-bit signed integer, the result needs at least 32 bits to be stored without overflowing. To show this in practice, the largest signed value that can be stored in 4 bits is -8. (-8)*(-8) is 64, which needs at least 8 bits (signed) to store.

A.2. Stereo Decorrelation

When stereo decorrelation is used, the side channel will have one extra bit of bit depth; see Section 4.2.

This means that while 16-bit signed integers have sufficient range to store samples from a fully decoded FLAC frame with a bit depth of 16 bits, the decoding of a side subframe in such a file will need a data type with at least 17 bits to store decoded subframe samples before undoing stereo decorrelation.

Most FLAC decoders store decoded (subframe) samples as 32-bit values, which is sufficient for files with bit depths up to (and including) 31 bits.

A.3. Prediction

A prediction (which is used to calculate the residual on encoding or added to the residual to calculate the sample value on decoding) is formed by multiplying and summing preceding sample values. In order to eliminate the possibility of integer overflow, the combination of preceding sample values and predictor coefficients producing the largest possible value should be considered.

To determine the size of the data type needed to calculate either a residual sample (on encoding) or an audio sample value (on decoding) in a fixed predictor subframe, the maximum possible value for these is calculated as described in Appendix A.1 and in the following table. For

van Beurden & Weaver

example, if a frame codes for 16-bit audio and has some form of stereo decorrelation, the subframe coding for the side channel would need 16+1+3 bits if a third-order fixed predictor is used.

Order	Calculation of Residual	Sample Values Summed	Extra Bits
0	a(n)	1	0
1	a(n) - a(n-1)	2	1
2	a(n) - 2 * a(n-1) + a(n-2)	4	2
3	a(n) - 3 * a(n-1) + 3 * a(n-2) - a(n-3)	8	3
4	a(n) - 4 * a(n-1) + 6 * a(n-2) - 4 * a(n-3) + a(n-4)	16	4

Table 26

Where:

- n is the number of the sample being predicted.
- a(n) is the sample being predicted.
- a(n-1) is the sample before the one being predicted, a(n-2) is the sample before that, etc.

For subframes with a linear predictor, the calculation is a little more complicated. Each prediction is the sum of several multiplications. Each of these multiply a sample value with a predictor coefficient. The extra bits needed can be calculated by adding the predictor coefficient precision (in bits) to the bit depth of the audio samples. To account for the summing of these multiplications, the log base 2 of the predictor order rounded up is added.

For example, if the sample bit depth of the source is 24, the current subframe encodes a side channel (see Section 4.2), the predictor order is 12, and the predictor coefficient precision is 15 bits, the minimum required size of the used signed integer data type is at least (24 + 1) + 15 + ceil(log2(12)) = 44 bits. As another example, with a side-channel subframe bit depth of 16, a predictor order of 8, and a predictor coefficient precision of 12 bits, the minimum required size of the used signed integer (log2(8)) = 32 bits.

A.4. Residual

As stated in Section 9.2.7, an encoder must make sure residual samples are representable by a 32bit integer, signed two's complement, excluding the most negative value. As in the previous section, it is possible to calculate when residual samples already implicitly fit and when an additional check is needed. This implicit fit is achieved when residuals would fit a theoretical 31bit signed integer, as that satisfies both of the mentioned criteria. When this implicit fit is not achieved, all residual values must be calculated and checked individually. For the residual of a fixed predictor, the maximum residual sample size was already calculated in the previous section. However, for a linear predictor, the prediction is shifted right by a certain amount. The number of bits needed for the residual is the number of bits calculated in the previous section, reduced by the prediction right shift, and increased by one bit to account for the subtraction of the prediction from the current sample on encoding.

Taking the last example of the previous section, where 32 bits were needed for the prediction, the required data type size for the residual samples in case of a right shift of 10 bits would be 32 - 10 + 1 = 23 bits, which means it is not necessary to perform the aforementioned check.

As another example, when encoding 32-bit PCM with fixed predictors, all predictor orders must be checked. While the zero-order fixed predictor is guaranteed to have residual samples that fit a 32-bit signed integer, it might produce a residual sample value that is the most negative representable value of that 32-bit signed integer.

Note that on decoding, while the residual sample values are limited to the aforementioned range, the predictions are not. This means that while the decoding of the residual samples can happen fully in 32-bit signed integers, decoders must be sure to execute the addition of each residual sample to its accompanying prediction with a signed integer data type that is wide enough, as with encoding.

A.5. Rice Coding

When folding (i.e., zigzag encoding) the residual sample values, no extra bits are needed when the absolute value of each residual sample is first stored in an unsigned data type of the size of the last step, then doubled, and then has one subtracted depending on whether the residual sample was positive or negative. However, many implementations choose to require one extra bit of data type size so zigzag encoding can happen in one step without a cast instead of the procedure described in the previous sentence.

Appendix B. Past Format Changes

This informational appendix documents the changes made to the FLAC format over the years. This information might be of use when encountering FLAC files that were made with software following the format as it was before the changes documented in this appendix.

The FLAC format was first specified in December 2000, and the bitstream format was considered frozen with the release of FLAC 1.0 (the reference encoder/decoder) in July 2001. Only changes made since this first stable release are considered in this appendix. Changes made to the FLAC streamable subset definition (see Section 7) are not considered.

B.1. Addition of Blocking Strategy Bit

Perhaps the largest backwards-incompatible change to the specification was published in July 2007. Before this change, variable block size streams were not explicitly marked as such by a flag bit in the frame header. A decoder had two ways to detect a variable block size stream: by comparing the minimum and maximum block sizes in the streaminfo metadata block (which are

equal for a fixed block size stream) or by detecting a change of block size during a stream if a decoder did not receive a streaminfo metadata block, which could not happen at all in theory. As the meaning of the coded number in the frame header depends on whether or not a stream has a variable block size, this presented a problem: the meaning of the coded number could not be reliably determined. To fix this problem, one of the reserved bits was changed to be used as a blocking strategy bit. See also Section 9.1.

Along with the addition of a new flag, the meaning of the block size bits (see Section 9.1.1) was subtly changed. Initially, block size bits patterns 0b0001-0b0101 and 0b1000-0b1111 could only be used for fixed block size streams, while 0b0110 and 0b0111 could be used for both fixed block size and variable block size streams. With this change, these restrictions were lifted, and patterns 0b0001-0b1111 are now used for both variable block size and fixed block size streams.

B.2. Restriction of Encoded Residual Samples

Another change to the specification was deemed necessary during standardization by the CELLAR Working Group of the IETF. As specified in Section 9.2.7, a limit is imposed on residual samples. This limit was not specified prior to the IETF standardization effort. However, as far as was known to the working group, no FLAC encoder at that time produced FLAC files containing residual samples exceeding this limit. This is mostly because it is very unlikely to encounter residual samples exceeding this limit when encoding 24-bit PCM, and encoding of PCM with higher bit depths was not yet implemented in any known encoder. In fact, these FLAC encoders would produce corrupt files upon being triggered to produce such residual samples, and it is unlikely any non-experimental encoder would ever do so, even when presented with crafted material. Therefore, it was not expected that existing implementations would be rendered noncompliant by this change.

B.3. Addition of 5-Bit Rice Parameters

One significant addition to the format was the residual coding method using 5-bit Rice parameters. Prior to publication of this addition in July 2007, a partitioned Rice code with 4-bit Rice parameters was the only residual coding method specified. The range offered by this coding method proved too small when encoding 24-bit PCM; therefore, a second residual coding method was specified that was identical to the first, but with 5-bit Rice parameters.

B.4. Restriction of LPC Shift to Non-negative Values

As stated in Section 9.2.6, the predictor right shift is a number signed two's complement, which **MUST NOT** be negative. This is because shifting a number to the right by a negative amount is undefined behavior in the C programming language standard. The intended behavior was that a positive number would be a right shift and a negative number would be a left shift. The FLAC reference encoder was changed in 2007 to not generate LPC subframes with a negative predictor right shift, as it turned out that the use of such subframes would only very rarely provide any benefit and the decoders that were already widely in use at that point were not able to handle such subframes.

Appendix C. Interoperability Considerations

As documented in Appendix B, there have been some changes and additions to the FLAC format. Additionally, implementation of certain features of the FLAC format took many years, meaning early decoder implementations could not be tested against files with these features. Finally, many lower-quality FLAC decoders only implement just enough features required for playback of the most common FLAC files.

This appendix provides some considerations for encoder implementations aiming to create highly compatible files. As this topic is one that might change after this document is published, consult [FLAC-wiki-interoperability] for more up-to-date information.

C.1. Features outside of the Streamable Subset

As described in Section 7, FLAC specifies a subset of its capabilities as the FLAC streamable subset. Certain decoders may choose to only decode FLAC files conforming to the limitations imposed by the streamable subset. Therefore, maximum compatibility with decoders is achieved when the limitations of the FLAC streamable subset are followed when creating FLAC files.

C.2. Variable Block Size

Because it is often difficult to find the optimal arrangement of block sizes for maximum compression, most encoders choose to create files with a fixed block size. Because of this, many decoder implementations receive minimal use when handling variable block size streams, and this can reveal bugs or reveal that implementations do not decode them at all. Furthermore, as explained in Appendix B.1, there have been some changes to the way variable block size streams are encoded. Because of this, maximum compatibility with decoders is achieved when FLAC files are created using fixed block size streams.

C.3. 5-Bit Rice Parameters

As the addition of the coding method using 5-bit Rice parameters, as described in Appendix B.3, occurred quite a few years after the FLAC format was first introduced, some early decoders might not be able to decode files containing such Rice parameters. The introduction of this was specifically aimed at improving compression of 24-bit PCM audio, and compression of 16-bit PCM audio only rarely benefits from using 5-bit Rice parameters. Therefore, maximum compatibility with decoders is achieved when FLAC files containing audio with a bit depth of 16 bits or less are created without any use of 5-bit Rice parameters.

C.4. Rice Escape Code

Escaped Rice partitions are seldom used, as it turned out their use provides only a very small compression improvement. As many encoders do not use these by default or are not capable of producing them at all, it is likely that many decoder implementations are not able to decode them correctly. Therefore, maximum compatibility with decoders is achieved when FLAC files are created without any use of escaped Rice partitions.

van Beurden & Weaver

C.5. Uncommon Block Size

For unknown reasons, some decoders have chosen to support only common block sizes for all but the last block of a stream. Therefore, maximum compatibility with decoders is achieved when creating FLAC files using common block sizes, as listed in Section 9.1.1, for all but the last block of a stream.

C.6. Uncommon Bit Depth

Most audio is stored in bit depths that are a whole number of bytes, e.g., 8, 16, or 24 bits. However, there is audio with different bit depths. A few examples:

- DVD-Audio has the possibility to store 20-bit PCM audio.
- DAT and DV can store 12-bit PCM audio.
- NICAM-728 samples at 14 bits, which is companded to 10 bits.
- 8-bit µ-law can be losslessly converted to 14-bit (Linear) PCM.
- 8-bit A-law can be losslessly converted to 13-bit (Linear) PCM.

The FLAC format can contain these bit depths directly, but because they are uncommon, some decoders are not able to process the resulting files correctly. It is possible to store these formats in a FLAC file with a more common bit depth without sacrificing compression by padding each sample with zero bits to a bit depth that is a whole byte. The FLAC format can efficiently compress these wasted bits. See Section 9.2.2 for details.

Therefore, maximum compatibility with decoders is achieved when FLAC files are created by padding samples of such audio with zero bits to the bit depth that is the next whole number of bytes.

In cases where the original signal is already padded, this operation cannot be reversed losslessly without knowing the original bit depth. To leave no ambiguity, the original bit depth needs to be stored, for example, in a Vorbis comment field or by storing the header of the original file. The choice of a suitable method is left to the implementor.

Besides audio with a "non-whole byte" bit depth, some decoder implementations have chosen to only accept FLAC files coding for PCM audio with a bit depth of 16 bits. Many implementations support bit depths up to 24 bits, but no higher. Consult [FLAC-wiki-interoperability] for more up-to-date information.

C.7. Multi-Channel Audio and Uncommon Sample Rates

Many FLAC audio players are unable to render multi-channel audio or audio with an uncommon sample rate. While this is not a concern specific to the FLAC format, it is of note when requiring maximum compatibility with decoders. Unlike the previously mentioned interoperability considerations, this is one where compatibility cannot be improved without sacrificing the lossless nature of the FLAC format. From a non-exhaustive inquiry, it seems that a non-negligible number of players, especially hardware players, do not support audio with 3 or more channels or sample rates other than those considered common; see Section 9.1.2.

For those players that do support and are able to render multi-channel audio, many do not parse and use the WAVEFORMATEXTENSIBLE_CHANNEL_MASK tag (see Section 8.6.2). This is also an interoperability consideration because compatibility cannot be improved without sacrificing the lossless nature of the FLAC format.

C.8. Changing Audio Properties Mid-Stream

Each FLAC frame header stores the audio sample rate, number of bits per sample, and number of channels independently of the streaminfo metadata block and other frame headers. This was done to permit multicasting of FLAC files, but it also allows these properties to change midstream. However, many FLAC decoders do not handle such changes, as few other formats are capable of holding such streams and changing playback properties during playback is often not possible without interrupting playback. Also, as explained in Section 9, using this feature of FLAC results in various practical problems.

However, even when storing an audio stream with changing properties in FLAC encapsulated in a container capable of handling such changes, as recommended in Section 9, many decoders are not able to decode such a stream correctly. Therefore, maximum compatibility with decoders is achieved when FLAC files are created with a single set of audio properties, in which the properties coded in the streaminfo metadata block (see Section 8.2) and the properties coded in all frame headers (see Section 9.1) are the same. This can be achieved by splitting up an input stream with changing audio properties at the points where these properties change into separate streams or files.

Appendix D. Examples

This informational appendix contains short examples of FLAC files that are decoded step by step. These examples provide a more engaging way to understand the FLAC format than the formal specification. The text explaining these examples assumes the reader has at least cursorily read the specification and that the reader refers to the specification for explanation of the terminology used. These examples mostly focus on the layout of several metadata blocks, subframe types, and the implications of certain aspects (e.g., wasted bits and stereo decorrelation) on this layout.

The examples feature files generated by various FLAC encoders. These are presented in hexadecimal or binary format, followed by tables and text referring to various features by their starting bit positions in these representations. Each starting position (shortened to "start" in the tables) is a hexadecimal byte position and a start bit within that byte, separated by a plus sign. Counts for these start at zero. For example, a feature starting at the 3rd bit of the 17th byte is referred to as starting at 0x10+2. The files that are explored in these examples can be found at [FLAC-specification-github].

All data in this appendix has been thoroughly verified. However, as this appendix is informational, if any information here conflicts with statements in the formal specification, the latter takes precedence.

D.1. Decoding Example 1

This very short example FLAC file codes for PCM audio that has two channels, each containing one sample. The focus of this example is on the essential parts of a FLAC file.

D.1.1. Example File 1 in Hexadecimal Representation

```
      00000000:
      664c
      6143
      8000
      0022
      1000
      1000
      fLaC..."...

      0000000c:
      0000
      0f00
      000f
      0ac4
      42f0
      0000
      .....B...

      00000018:
      0001
      3e84
      b418
      07dc
      6903
      0758
      .....I.X

      00000024:
      6a3d
      ad1a
      2e0f
      fff8
      6918
      0000
      j=....i...

      00000030:
      bf03
      58fd
      0312
      8baa
      9a
      ...X.....
```

D.1.2. Example File 1 in Binary Representation

```
00000000: 01100110 01001100 01100001 01000011
                                                fLaC
0000004: 1000000 0000000 0000000 00100010
                                                 . . .
0000008: 00010000 0000000 00010000 0000000
                                                 . . . .
000000c: 0000000 0000000 00001111 0000000
                                                 . . . .
00000010: 0000000 00001111 00001010 11000100
                                                 . . . .
00000014: 01000010 11110000 0000000 00000000
                                                Β...
00000018: 0000000 0000001 00111110 10000100
                                                 ..>.
0000001c: 10110100 00011000 00000111 11011100
                                                 . . . .
00000020: 01101001 00000011 00000111 01011000
                                                i..X
00000024: 01101010 00111101 10101101 00011010
                                                i=..
00000028: 00101110 00001111 11111111 11111000
                                                 . . . .
0000002c: 01101001 00011000 0000000 0000000
                                                i...
00000030: 10111111 00000011 01011000 11111101
                                                 . . X .
00000034: 00000011 00010010 10001011 10101010
                                                 . . . .
0000038: 10011010
```

D.1.3. Signature and Streaminfo

The first 4 bytes of the file contain the fLaC file signature. Directly following it is a metadata block. The signature and the first metadata block header are broken down in the following table.

Start	Length	Contents	Description
0x00+0	4 bytes	0x664C6143	fLaC
0x04+0	1 bit	0b1	Last metadata block
0x04+1	7 bits	0b0000000	Streaminfo metadata block

Start	Length	Contents	Description
0x05+0	3 bytes	0x000022	Length of 34 bytes
Table 27			

As the header indicates that this is the last metadata block, the position of the first audio frame can now be calculated as the position of the first byte after the metadata block header + the length of the block, i.e., 8+34 = 42 or 0x2a. Thus, 0x2a indeed contains the frame sync code for fixed block size streams -- 0xfff8.

The streaminfo metadata block contents are broken down in the following table.

Start	Length	Contents	Description
0x08+0	2 bytes	0x1000	Min. block size 4096
0x0a+0	2 bytes	0x1000	Max. block size 4096
0x0c+0	3 bytes	0x00000f	Min. frame size 15 bytes
0x0f+0	3 bytes	0x00000f	Max. frame size 15 bytes
0x12+0	20 bits	0x0ac4, 0b0100	Sample rate 44100 hertz
0x14+4	3 bits	0b001	2 channels
0x14+7	5 bits	0b01111	Sample bit depth 16
0x15+4	36 bits	0b0000, 0x00000001	Total no. of samples 1
0x1a	16 bytes	()	MD5 checksum
Tahle 28			

Table 28

The minimum and maximum block sizes are both 4096. This was apparently the block size the encoder planned to use, but as only 1 interchannel sample was provided, no frames with 4096 samples are actually present in this file.

Note that anywhere a number of samples is mentioned (block size, total number of samples, sample rate), interchannel samples are meant.

The MD5 checksum (starting at 0x1a) is 0x3e84 b418 07dc 6903 0758 6a3d ad1a 2e0f. This will be validated after decoding the samples.

D.1.4. Audio Frames

The frame header starts at position 0x2a and is broken down in the following table.

Start	Length	Contents	Description
0x2a+0	15 bits	0xff, 0b1111100	Frame sync
0x2b+7	1 bit	0b0	Blocking strategy
0x2c+0	4 bits	0b0110	8-bit block size further down
0x2c+4	4 bits	0b1001	Sample rate 44.1 kHz
0x2d+0	4 bits	0b0001	Stereo, no decorrelation
0x2d+4	3 bits	0b100	Bit depth 16 bits
0x2d+7	1 bit	0b0	Mandatory 0 bit
0x2e+0	1 byte	0x00	Frame number 0
0x2f+0	1 byte	0x00	Block size 1
0x30+0	1 byte	0xbf	Frame header CRC
Table 29			

As the stream is a fixed block size stream, the number at 0x2e contains a frame number. Because the value is smaller than 128, only 1 byte is used for the encoding.

At byte 0x31, the first subframe starts, which is broken down in the following table.

Start	Length	Contents	Description
0x31+0	1 bit	0b0	Mandatory 0 bit
0x31+1	6 bits	0b000001	Verbatim subframe
0x31+7	1 bit	0b1	Wasted bits used
0x32+0	2 bits	0b01	2 wasted bits used
0x32+2	14 bits	0b011000, 0xfd	14-bit unencoded sample
Table 30			

As the wasted bits flag is 1 in this subframe, a unary-coded number follows. Starting at 0x32, we see 0b01, which unary codes for 1, meaning that this subframe uses 2 wasted bits.

As this is a verbatim subframe, the subframe only contains unencoded sample values. With a block size of 1, it contains only a single sample. The bit depth of the audio is 16 bits, but as the subframe header signals the use of 2 wasted bits, only 14 bits are stored. As no stereo decorrelation is used, a bit depth increase for the side channel is not applicable. So, the next 14

bits (starting at position 0x32+2) contain the unencoded sample coded big-endian, signed two's complement. The value reads 0b011000 11111101, or 6397. This value needs to be shifted left by 2 bits to account for the wasted bits. The value is then 0b011000 11111101 00, or 25588.

Start	Length	Contents	Description
0x34+0	1 bit	0b0	Mandatory 0 bit
0x34+1	6 bits	0b000001	Verbatim subframe
0x34+7	1 bit	0b1	Wasted bits used
0x35+0	4 bits	0b0001	4 wasted bits used
0x35+4	12 bits	0b0010, 0x8b	12-bit unencoded sample
Table 31			

The second subframe starts at 0x34 and is broken down in the following table.

The wasted bits flag is also one, but the unary-coded number that follows it is 4 bits long, indicating the use of 4 wasted bits. This means the sample is stored in 12 bits. The sample value is 0b0010 10001011, or 651. This value now has to be shifted left by 4 bits, i.e., 0b0010 10001011 0000, or 10416.

At this point, we would undo stereo decorrelation if that was applicable.

As the last subframe ends byte-aligned, no padding bits follow it. The next 2 bytes, starting at 0x38, contain the frame CRC. As this is the only frame in the file, the file ends with the CRC.

To validate the MD5 checksum, we line up the samples interleaved, byte-aligned, little-endian, signed two's complement. The first sample, with value 25588, translates to 0xf463, and the second sample, with value 10416, translates to 0xb028. When computing the MD5 checksum with 0xf463b028 as input, we get the MD5 checksum found in the header, so decoding was lossless.

D.2. Decoding Example 2

This FLAC file is larger than the first example, but still contains very little audio. The focus of this example is on decoding a subframe with a fixed predictor and a coded residual, but it also contains a very short seek table, a Vorbis comment metadata block, and a padding metadata block.

D.2.1.	Example 1	File 2 in	Hexadecimal	Representation
--------	------------------	-----------	-------------	----------------

0000000000	664c	6143	0000	0022	0010	0010	fLaC"
0000000c:	0000	1700	0044	0ac4	42f0	0000	DB
00000018:	0013	d5b0	5649	75e9	8b8d	8b93	VIu
00000024:	0422	757b	8103	0300	0012	0000	."u{
00000030:	0000	0000	0000	0000	0000	0000	
0000003c:	0000	0010	0400	003a	2000	0000	
00000048:	7265	6665	7265	6e63	6520	6c69	reference li
00000054:	6246	4c41	4320	312e	332e	3320	bFLAC 1.3.3
00000060:	3230	3139	3038	3034	0100	0000	20190804
0000006c:	0e00	0000	5449	544c	453d	d7a9	TITLE=
00000078:	d79c	d795	d79d	8100	0006	0000	
00000084:	0000	0000	fff8	6998	000f	9912	i
00000090:	0867	0162	3d14	4299	8f5d	f70d	.g.b=.B]
0000009c:	6fe0	0c17	caeb	2100	0ee7	a77a	o!z
000000a8:	24a1	590c	1217	b603	097b	784f	\$.Y{x0
000000b4:	aa9a	33d2	85e0	70ad	5b1b	4851	3p.[.HQ
000000c0:	b401	0d99	d2cd	1a68	f1e6	b810	h
000000cc:	fff8	6918	0102	a402	c382	c40b	i
000000d8:	c14a	03ee	48dd	03b6	7c13	30	.JH .0

D.2.2. Example File 2 in Binary Representation (Only Audio Frames)

00000088: 0000008c:	11111111 00000000	11111000 00001111	01101001 10011001	10011000 00010010	i.	
00000090:	00001000	01100111	00000001	01100010	.g.b	
00000094:	00111101	00010100	01000010	10011001	=.B.	
00000098:	10001111	01011101	11110111	00001101	.]	
0000009c:	01101111	11100000	00001100	00010111	0	
000000a0:	11001010	11101011	00100001	00000000	!.	
000000a4:	00001110	11100111	10100111	01111010	Z	
000000a8:	00100100	10100001	01011001	00001100	\$.Y.	
000000ac:	00010010	00010111	10110110	00000011		
000000b0:	00001001	01111011	01111000	01001111	.{x0	
000000b4:	10101010	10011010	00110011	11010010	3.	
000000b8:	10000101	11100000	01110000	10101101	p.	
000000bc:	01011011	00011011	01001000	01010001	[.HQ	
000000c0:	10110100	00000001	00001101	10011001		
000000c4:	11010010	11001101	00011010	01101000	h	
000000c8:	11110001	11100110	10111000	00010000		
000000cc:	11111111	11111000	01101001	00011000	i.	
000000d0:	00000001	00000010	10100100	00000010		
000000d4:	11000011	10000010	11000100	00001011		
000000d8:	11000001	01001010	00000011	11101110	.J	
000000dc:	01001000	11011101	00000011	10110110	Η	
000000e0:	01111100	00010011	00110000		0.	
					·	

D.2.3. Streaminfo Metadata Block

Most of the streaminfo metadata block, including its header, is the same as in example 1, so only parts that are different are listed in the following table.

Start	Length	Contents	Description
0x04+0	1 bit	0b0	Not the last metadata block
0x08+0	2 bytes	0x0010	Min. block size 16
0x0a+0	2 bytes	0x0010	Max. block size 16
0x0c+0	3 bytes	0x000017	Min. frame size 23 bytes
0x0f+0	3 bytes	0x000044	Max. frame size 68 bytes
0x15+4	36 bits	0b0000, 0x00000013	Total no. of samples 19
0x1a	16 bytes	()	MD5 checksum
Table 32			

This time, the minimum and maximum block sizes are reflected in the file: there is one block of 16 samples, and the last block (which has 3 samples) is not considered for the minimum block size. The MD5 checksum is 0xd5b0 5649 75e9 8b8d 8b93 0422 757b 8103. This will be verified at the end of this example.

D.2.4. Seek Table

The seek table metadata block only holds one entry. It is not really useful here, as it points to the first frame, but it is enough for this example. The seek table metadata block is broken down in the following table.

Start	Length	Contents	Description
0x2a+0	1 bit	0b0	Not the last metadata block
0x2a+1	7 bits	0b0000011	Seek table metadata block
0x2b+0	3 bytes	0x000012	Length 18 bytes
0x2e+0	8 bytes	0x000000000000000000	Seek point to sample 0
0x36+0	8 bytes	0x000000000000000000	Seek point to offset 0
0x3e+0	2 bytes	0x0010	Seek point to block size 16
Table 33			

D.2.5. Vorbis Comment

The Vorbis comment metadata block contains the vendor string and a single comment. It is broken down in the following table.

Start	Length	Contents	Description
0x40+0	1 bit	0b0	Not the last metadata block
0x40+1	7 bits	0b0000100	Vorbis comment metadata block
0x41+0	3 bytes	0x00003a	Length 58 bytes
0x44+0	4 bytes	0x20000000	Vendor string length 32 bytes
0x48+0	32 bytes	()	Vendor string
0x68+0	4 bytes	0x01000000	Number of fields 1
0x6c+0	4 bytes	0x0e000000	Field length 14 bytes
0x70+0	14 bytes	()	Field contents
Table 34			

The vendor string is reference libFLAC 1.3.3 20190804, and the field contents of the only field is

שלום=TITLE

where in direction of reading, the sequence of characters forming the field content is: "ש" (HEBREW LETTER SHIN, U+05E9), "ל" (HEBREW LETTER LAMED, U+05DC), "ו" (HEBREW LETTER VAV, U+05D5), "ם" (HEBREW LETTER FINAL MEM, U+05DD).

The Vorbis comment field is 14 bytes but only 10 characters in size, because it contains four 2byte characters.

D.2.6. Padding

The last metadata block is a (very short) padding block.

Start	Length	Contents	Description
0x7e+0	1 bit	0b1	Last metadata block
0x7e+1	7 bits	0b0000001	Padding metadata block
0x7f+0	3 bytes	0x000006	Length 6 byte
0x82+0	6 bytes	0x00000000000000	Padding bytes
Table 35			

D.2.7. First Audio Frame

The frame header starts at position 0x88 and is broken down in the following table.

Start	Length	Contents	Description
0x88+0	15 bits	0xff, 0b1111100	Frame sync
0x89+7	1 bit	0b0	Blocking strategy
0x8a+0	4 bits	0b0110	8-bit block size further down
0x8a+4	4 bits	0b1001	Sample rate 44.1 kHz
0x8b+0	4 bits	0b1001	Side-right stereo
0x8b+4	3 bits	0b100	Bit depth 16 bit
0x8b+7	1 bit	0b0	Mandatory 0 bit
0x8c+0	1 byte	0x00	Frame number 0
0x8d+0	1 byte	0x0f	Block size 16
0x8e+0	1 byte	0x99	Frame header CRC
Table 36			

The first subframe starts at byte 0x8f, and it is broken down in the following table, excluding the coded residual. As this subframe codes for a side channel, the bit depth is increased by 1 bit from 16 bits to 17 bits. This is most clearly present in the unencoded warm-up sample.

Start	Length	Contents	Description
0x8f+0	1 bit	0b0	Mandatory 0 bit
0x8f+1	6 bits	0b001001	Fixed subframe, 1st order
0x8f+7	1 bit	0b0	No wasted bits used
0x90+0	17 bits	0x0867, 0b0	Unencoded warm-up sample
Table 37			

The coded residual is broken down in the following table. All quotients are unary coded, and all remainders are stored unencoded with a number of bits specified by the Rice parameter.

Start	Length	Contents	Description
0x92+1	2 bits	0b00	Rice code with 4-bit parameter
0x92+3	4 bits	0b0000	Partition order 0
0x92+7	4 bits	0b1011	Rice parameter 11

van Beurden & Weaver

Start	Length	Contents	Description
0x93+3	4 bits	0b0001	Quotient 3
0x93+7	11 bits	0b00011110100	Remainder 244
0x95+2	2 bits	0b01	Quotient 1
0x95+4	11 bits	0b01000100001	Remainder 545
0x96+7	2 bits	0b01	Quotient 1
0x97+1	11 bits	0b00110011000	Remainder 408
0x98+4	1 bit	0b1	Quotient 0
0x98+5	11 bits	0b11101011101	Remainder 1885
0x9a+0	1 bit	0b1	Quotient 0
0x9a+1	11 bits	0b11101110000	Remainder 1904
0x9b+4	1 bit	0b1	Quotient 0
0x9b+5	11 bits	0b10101101111	Remainder 1391
0x9d+0	1 bit	0b1	Quotient 0
0x9d+1	11 bits	0b11000000000	Remainder 1536
0x9e+4	1 bit	0b1	Quotient 0
0x9e+5	11 bits	0b10000010111	Remainder 1047
0xa0+0	1 bit	0b1	Quotient 0
0xa0+1	11 bits	0b10010101110	Remainder 1198
0xa1+4	1 bit	0b1	Quotient 0
0xa1+5	11 bits	0b01100100001	Remainder 801
0xa3+0	13 bits	0b0000000000001	Quotient 12
0xa4+5	11 bits	0b11011100111	Remainder 1767
0xa6+0	1 bit	0b1	Quotient 0
0xa6+1	11 bits	0b01001110111	Remainder 631

Start	Length	Contents	Description
0xa7+4	1 bit	0b1	Quotient 0
0xa7+5	11 bits	0b01000100100	Remainder 548
0xa9+0	1 bit	0b1	Quotient 0
0xa9+1	11 bits	0b01000010101	Remainder 533
0xaa+4	1 bit	0b1	Quotient 0
0xaa+5	11 bits	0b00100001100	Remainder 268
Table 38			

At this point, the decoder should know it is done decoding the coded residual, as it received 16 samples: 1 warm-up sample and 15 residual samples. Each residual sample can be calculated from the quotient and remainder and from undoing the zigzag encoding. For example, the value of the first zigzag-encoded residual sample is $3 * 2^{11} + 244 = 6388$. As this is an even number, the zigzag encoding is undone by dividing by 2; the residual sample value is 3194. This is done for all residual samples in the next table.

Quotient	Remainder	Zigzag Encoded	Residual Sample Value
3	244	6388	3194
1	545	2593	-1297
1	408	2456	1228
0	1885	1885	-943
0	1904	1904	952
0	1391	1391	-696
0	1536	1536	768
0	1047	1047	-524
0	1198	1198	599
0	801	801	-401
12	1767	26343	-13172
0	631	631	-316

van Beurden & Weaver

Quotient	Remainder	Zigzag Encoded	Residual Sample Value
0	548	548	274
0	533	533	-267
0	268	268	134

Table 39

In this case, using a Rice code is more efficient than storing values unencoded. The Rice code (excluding the partition order and parameter) is 199 bits in length. The largest residual value (-13172) would need 15 bits to be stored unencoded, so storing all 15 samples with 15 bits results in a sequence with a length of 225 bits.

The next step is using the predictor and the residuals to restore the sample values. As this subframe uses a fixed predictor with order 1, the residual value is added to the value of the previous sample.

Residual	Sample Value
(warm-up)	4302
3194	7496
-1297	6199
1228	7427
-943	6484
952	7436
-696	6740
768	7508
-524	6984
599	7583
-401	7182
-13172	-5990
-316	-6306
274	-6032

Residual	Sample Value
-267	-6299
134	-6165
Table 40	

With this, the decoding of the first subframe is complete. The decoding of the second subframe is very similar, as it also uses a fixed predictor of order 1. This is left as an exercise for the reader; the results are in the next table. The next step is undoing stereo decorrelation, which is done in the following table. As the stereo decorrelation is side-right, the samples in the right channel come directly from the second subframe, while the samples in the left channel are found by adding the values of both subframes for each sample.

Subframe 1	Subframe 2	Left	Right
4302	6070	10372	6070
7496	10545	18041	10545
6199	8743	14942	8743
7427	10449	17876	10449
6484	9143	15627	9143
7436	10463	17899	10463
6740	9502	16242	9502
7508	10569	18077	10569
6984	9840	16824	9840
7583	10680	18263	10680
7182	10113	17295	10113
-5990	-8428	-14418	-8428
-6306	-8895	-15201	-8895
-6032	-8476	-14508	-8476
-6299	-8896	-15195	-8896
-6165	-8653	-14818	-8653
Table 41			

van Beurden & Weaver

As the second subframe ends byte-aligned, no padding bits follow it. Finally, the last 2 bytes of the frame contain the frame CRC.

D.2.8. Second Audio Frame

The second audio frame is very similar to the frame decoded in the first example, but this time, 3 samples (not 1) are present.

The frame header starts at position 0xcc and is broken down in the following table.

Start	Length	Contents	Description
0xcc+0	15 bits	0xff, 0b1111100	Frame sync
0xcd+7	1 bit	0b0	Blocking strategy
0xce+0	4 bits	0b0110	8-bit block size further down
0xce+4	4 bits	0b1001	Sample rate 44.1 kHz
0xcf+0	4 bits	0b0001	Stereo, no decorrelation
0xcf+4	3 bits	0b100	Bit depth 16 bits
0xcf+7	1 bit	0b0	Mandatory 0 bit
0xd0+0	1 byte	0x01	Frame number 1
0xd1+0	1 byte	0x02	Block size 3
0xd2+0	1 byte	0xa4	Frame header CRC
Table 42			

The first subframe starts at 0xd3+0 and is broken down in the following table.

Start	Length	Contents	Description
0xd3+0	1 bit	0b0	Mandatory 0 bit
0xd3+1	6 bits	0b000001	Verbatim subframe
0xd3+7	1 bit	0b0	No wasted bits used
0xd4+0	16 bits	0xc382	16-bit unencoded sample
0xd6+0	16 bits	0xc40b	16-bit unencoded sample
0xd8+0	16 bits	0xc14a	16-bit unencoded sample
Table 43			

van Beurden & Weaver

Start	Length	Contents	Description
0xda+0	1 bit	0b0	Mandatory 0 bit
0xda+1	6 bits	0b000001	Verbatim subframe
0xda+7	1 bit	0b1	Wasted bits used
0xdb+0	1 bit	0b1	1 wasted bit used
0xdb+1	15 bits	0b110111001001000	15-bit unencoded sample
0xdd+0	15 bits	0b110111010000001	15-bit unencoded sample
0xde+7	15 bits	0b110110110011111	15-bit unencoded sample
Table 44			

The second subframe starts at 0xda+0 and is broken down in the following table.

As this subframe uses wasted bits, the 15-bit unencoded samples need to be shifted left by 1 bit. For example, sample 1 is stored as -4536 and becomes -9072 after shifting left 1 bit.

As the last subframe does not end on byte alignment, 2 padding bits are added before the 2-byte frame CRC, which follows at 0xe1+0.

D.2.9. MD5 Checksum Verification

All samples in the file have been decoded, and we can now verify the MD5 checksum. All sample values must be interleaved and stored signed coded little-endian. The result of this follows in groups of 12 samples (i.e., 6 interchannel samples) per line.

The MD5 checksum of this is indeed the same as the one found in the streaminfo metadata block.

D.3. Decoding Example 3

This example is once again a very short FLAC file. The focus of this example is on decoding a subframe with a linear predictor and a coded residual with more than one partition.

D.3.1.	Example File 3 in H	Hexadecimal Representation
--------	---------------------	----------------------------

 00000000:
 664c
 6143
 8000
 0022
 1000
 1000
 fLaC..."...

 0000000c:
 0000
 1f00
 001f
 07d0
 0000
p.

 00000018:
 0018
 f8f9
 e396
 f5cb
 cfc6
 dc80

 00000024:
 7f99
 7790
 6b32
 fff8
 6802
 0017
 ..w.k2..h..

 00000030:
 e944
 004f
 6f31
 3d10
 47d2
 27cb
 .D.0o1=.G.'.

 0000003c:
 6d09
 0831
 452b
 dc28
 2222
 8057
 m..1E+.("".W

D.3.2. Example File 3 in Binary Representation (Only Audio Frame)

0000002a: 11111111 11111000 01101000 00000010 ..h. 0000002e: 00000000 00010111 11101001 01000100 ...D 00000032: 0000000 01001111 01101111 00110001 .001 00000036: 00111101 00010000 01000111 11010010 =.G. '.m. 0000003a: 00100111 11001011 01101101 00001001 .1E+ 0000003e: 00001000 00110001 01000101 00101011 . (" " 00000042: 11011100 00101000 00100010 00100010 00000046: 10000000 01010111 10100011 .W.

D.3.3. Streaminfo Metadata Block

Most of the streaminfo metadata block, including its header, is the same as in example 1, so only parts that are different are listed in the following table.

Start	Length	Contents	Description
0x0c+0	3 bytes	0x00001f	Min. frame size 31 bytes
0x0f+0	3 bytes	0x00001f	Max. frame size 31 bytes
0x12+0	20 bits	0x07d0, 0x0000	Sample rate 32000 hertz
0x14+4	3 bits	0b000	1 channel
0x14+7	5 bits	0b00111	Sample bit depth 8 bits
0x15+4	36 bits	0b0000, 0x00000018	Total no. of samples 24
0x1a	16 bytes	()	MD5 checksum
Table 45			

D.3.4. Audio Frame

The frame header starts at position 0x2a and is broken down in the following table.

Start	Length	Contents	Description
0x2a+0	15 bits	0xff, 0b1111100	Frame sync
0x2b+7	1 bit	0b0	blocking strategy
0x2c+0	4 bits	0b0110	8-bit block size further down
0x2c+4	4 bits	0b1000	Sample rate 32 kHz
0x2d+0	4 bits	0b0000	Mono audio (1 channel)
0x2d+4	3 bits	0b001	Bit depth 8 bits
0x2d+7	1 bit	0b0	Mandatory 0 bit
0x2e+0	1 byte	0x00	Frame number 0
0x2f+0	1 byte	0x17	Block size 24
0x30+0	1 byte	0xe9	Frame header CRC
Table 46			

The first and only subframe starts at byte 0x31. It is broken down in the following table, without the coded residual.

Start	Length	Contents	Description
0x31+0	1 bit	0b0	Mandatory 0 bit
0x31+1	6 bits	0b100010	Linear prediction subframe, 3rd order
0x31+7	1 bit	0b0	No wasted bits used
0x32+0	8 bits	0x00	Unencoded warm-up sample 0
0x33+0	8 bits	0x4f	Unencoded warm-up sample 79
0x34+0	8 bits	0x6f	Unencoded warm-up sample 111
0x35+0	4 bits	0b0011	Coefficient precision 4 bit
0x35+4	5 bits	0b00010	Prediction right shift 2
0x36+1	4 bits	0b0111	Predictor coefficient 7
0x36+5	4 bits	0b1010	Predictor coefficient -6

van Beurden & Weaver

	Start	Length	Contents	Description
	0x37+1	4 bits	0b0010	Predictor coefficient 2
Ì	Tahle 47			

The data stream continues with the coded residual, which is broken down in the following table. Residual partitions 3 and 4 are left as an exercise for the reader.

Start	Length	Contents	Description
0x37+5	2 bits	0b00	Rice-coded residual, 4-bit parameter
0x37+7	4 bits	0b0010	Partition order 2
0x38+3	4 bits	0b0011	Rice parameter 3
0x38+7	1 bit	0b1	Quotient 0
0x39+0	3 bits	0b110	Remainder 6
0x39+3	1 bit	0b1	Quotient 0
0x39+4	3 bits	0b001	Remainder 1
0x39+7	4 bits	0b0001	Quotient 3
0x3a+3	3 bits	0b001	Remainder 1
0x3a+6	4 bits	0b1111	No Rice parameter, escape code
0x3b+2	5 bits	0b00101	Partition encoded with 5 bits
0x3b+7	5 bits	0b10110	Residual -10
0x3c+4	5 bits	0b11010	Residual -6
0x3d+1	5 bits	0b00010	Residual 2
0x3d+6	5 bits	0b01000	Residual 8
0x3e+3	5 bits	0b01000	Residual 8
0x3f+0	5 bits	0b00110	Residual 6
0x3f+5	4 bits	0b0010	Rice parameter 2
0x40+1	22 bits	()	Residual partition 3
0x42+7	4 bits	0b0001	Rice parameter 1

van Beurden & Weaver

Start	Length	Contents	Description
0x43+3	23 bits	()	Residual partition 4
Table 48			

The frame ends with 6 padding bits and a 2-byte frame CRC.

To decode this subframe, 21 predictions have to be calculated and added to their corresponding residuals. This is a sequential process: as each prediction uses previous samples, it is not possible to start this decoding halfway through a subframe or decode a subframe with parallel threads.

The following table breaks down the calculation for each sample. For example, the predictor without shift value of row 4 is found by applying the predictor with the three warm-up samples: 7*111 - 6*79 + 2*0 = 303. This value is then shifted right by 2 bits: 303 >> 2 = 75. Then, the decoded residual sample is added: 75 + 3 = 78.

Residual	Predictor w/o Shift	Predictor	Sample Value
(warm-up)	N/A	N/A	0
(warm-up)	N/A	N/A	79
(warm-up)	N/A	N/A	111
3	303	75	78
-1	38	9	8
-13	-190	-48	-61
-10	-319	-80	-90
-6	-248	-62	-68
2	-58	-15	-13
8	137	34	42
8	236	59	67
6	191	47	53
0	53	13	13
-3	-93	-24	-27
-5	-161	-41	-46

Residual	Predictor w/o Shift	Predictor	Sample Value
-4	-134	-34	-38
-1	-44	-11	-12
1	52	13	14
1	94	23	24
4	60	15	19
2	17	4	6
2	-24	-6	-4
2	-26	-7	-5
0	1	0	0
Table 49			

By lining up all these samples, we get the following input for the MD5 checksum calculation process:

0x004F 6F4E 08C3 A6BC F32A 4335 0DE5 D2DA F40E 1813 06FC FB00

This indeed results in the MD5 checksum found in the streaminfo metadata block.

Acknowledgments

FLAC owes much to the many people who have advanced the audio compression field so freely. For instance:

- Tony Robinson: He worked on Shorten, and his paper (see [Robinson-TR156]) is a good starting point on some of the basic methods used by FLAC. FLAC trivially extends and improves the fixed predictors, LPC coefficient quantization, and Rice coding used in Shorten.
- Solomon W. Golomb and Robert F. Rice: Their universal codes are used by FLAC's entropy coder. See [Rice].
- Norman Levinson and James Durbin: The FLAC reference encoder uses an algorithm developed and refined by them for determining the LPC coefficients from the autocorrelation coefficients. See [Durbin]).
- Claude Shannon: See [Shannon].

The FLAC format, the FLAC reference implementation [FLAC-implementation], and the initial draft version of this document were originally developed by Josh Coalson. While many others have contributed since, this original effort is deeply appreciated.

van Beurden & Weaver

Authors' Addresses

Martijn van Beurden

Netherlands Email: mvanb1@gmail.com

Andrew Weaver

Email: theandrewjw@gmail.com